

# Vestigial order due to superconducting fluctuations in doped topological insulators

Jörg Schmalian  
Karlsruhe Institute of Technology



# collaborators

- **Matthias Hecker**  
Karlsruhe Institute of Technology



- **Rolf Lortz**  
Hong Kong University of S&T



# Лев Петрович Горьков



Tallahassee 2005

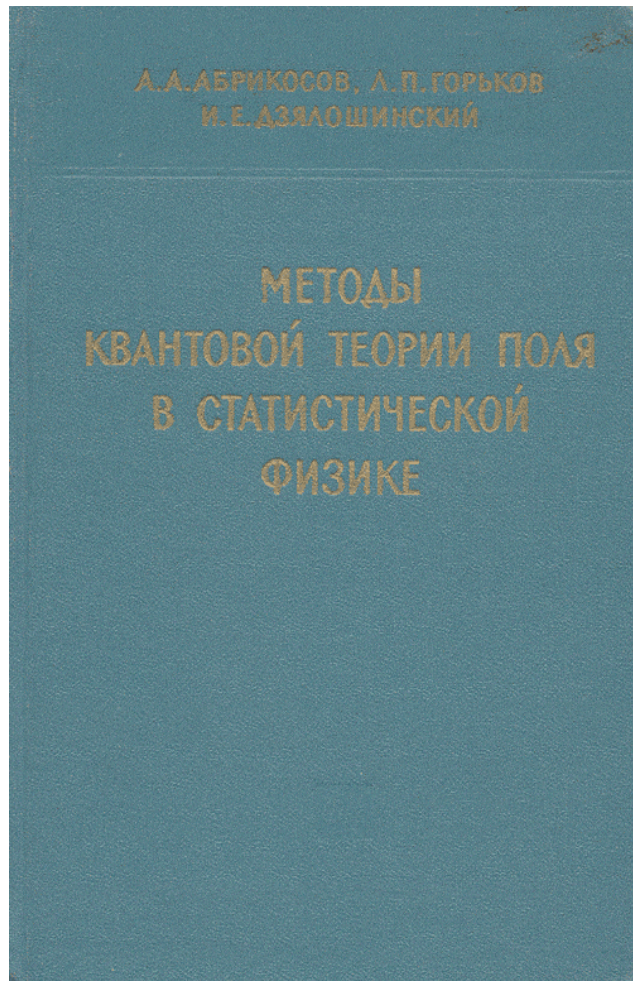


with David Pines in Urbana ~ 1991

# German National Library in Leipzig



# German National Library in Leipzig



a book that guided generations  
all over the world



[www.wikipedia.org](http://www.wikipedia.org)

# Symmetry classification of superconductors

## Anisotropy of the upper critical field in exotic superconductors

L. P. Gor'kov

*L. D. Landau Institute of Theoretical Physics, Academy of Sciences of the USSR*

(Submitted 17 September 1984)

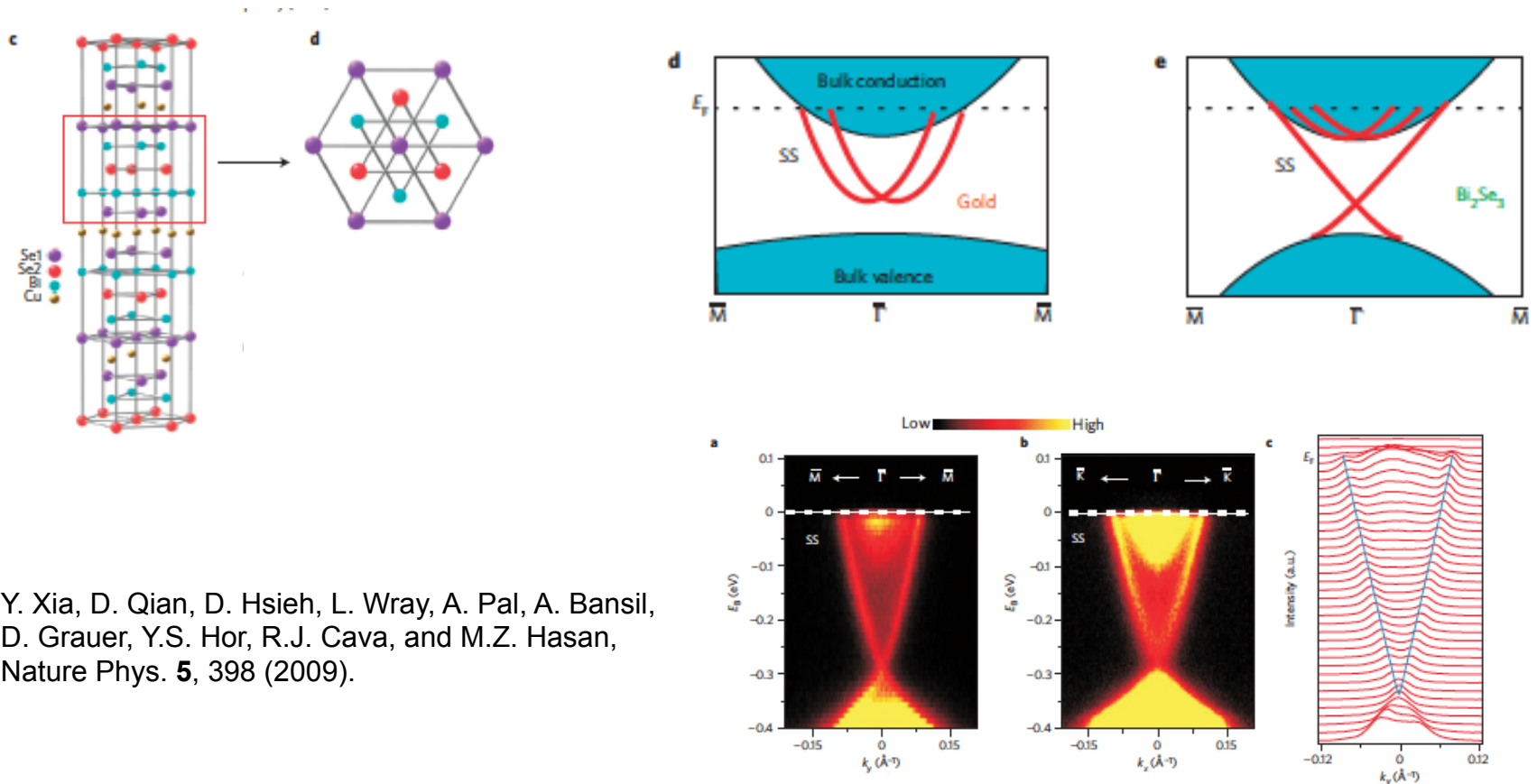
*Pis'ma Zh. Eksp. Teor. Fiz.* **40**, No. 8, 351–353 (25 October 1984)

While the superconducting order parameter is characterized by a multidimensional representation of the rotation group (a state of the type  $^3\text{He-A}$ ), the upper critical field near  $T_c$  is anisotropic even in a crystal with a high degree of symmetry. This circumstance can be used to describe the nature of the observed superconductivity. The degeneracy can in principle be lifted by elastic stress.

**anisotropy of the upper critical field** constrains the allowed symmetries of an unconventional superconductor

→ G. E. Volovik and L. P. Gor'kov, *Zh. Eksp. Teor. Fiz.* **88**, 1412 (1985)

# 3d topological insulator $\text{Bi}_2\text{Se}_3$

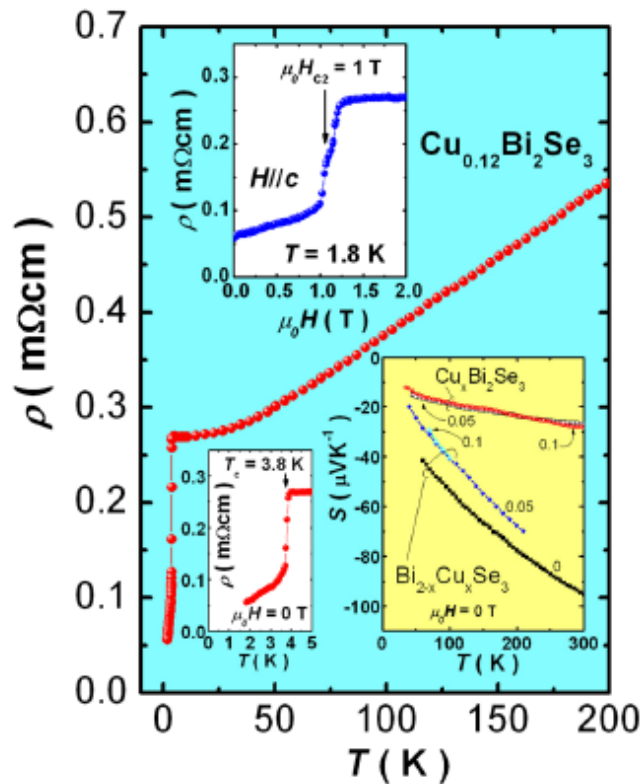
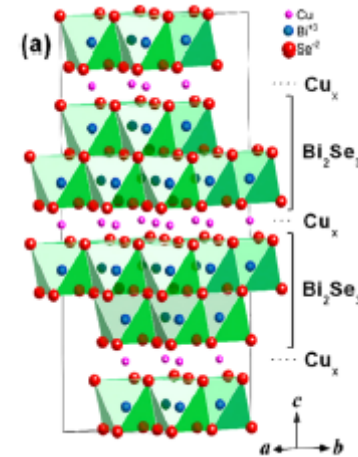


Y. Xia, D. Qian, D. Hsieh, L. Wray, A. Pal, A. Bansil, D. Grauer, Y.S. Hor, R.J. Cava, and M.Z. Hasan, Nature Phys. **5**, 398 (2009).

# doping a 3d topological insulator $\text{Bi}_2\text{Se}_3$

## superconductivity in $\text{Cu}_x\text{Bi}_2\text{Se}_3$

Y. S. Hor, et al. Phys. Rev. Lett. **104**, 057001 (2010).  
 M. Kriener, et al., Phys. Rev. Lett. **106**, 127004 (2011).



$$T_c \sim 3\text{K}$$

$$n \sim 10^{20} \text{cm}^{-3}$$

low carrier concentration

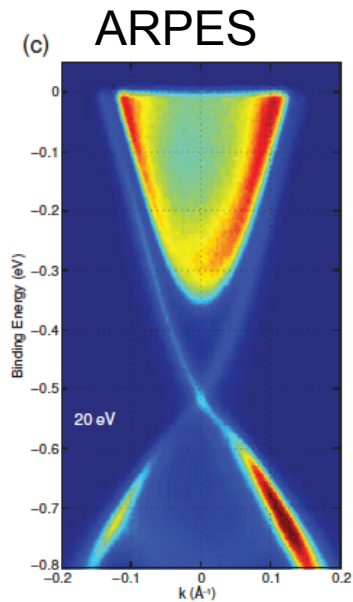
$$\xi_{ab} / \lambda_F \approx 2 - 4$$

short coherence length

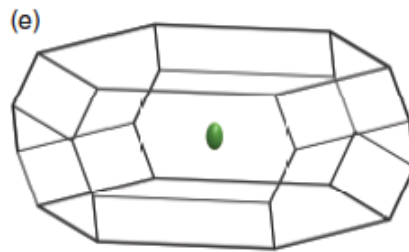
S.C. fluctuations



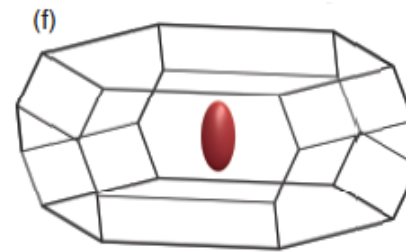
# evolution of the Fermi surface with carrier concentration



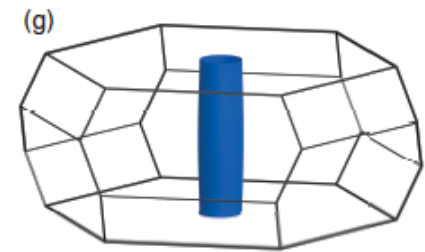
SdH



$$n \sim 10^{17} \text{ cm}^{-3}$$



$$n \sim 10^{19} \text{ cm}^{-3}$$



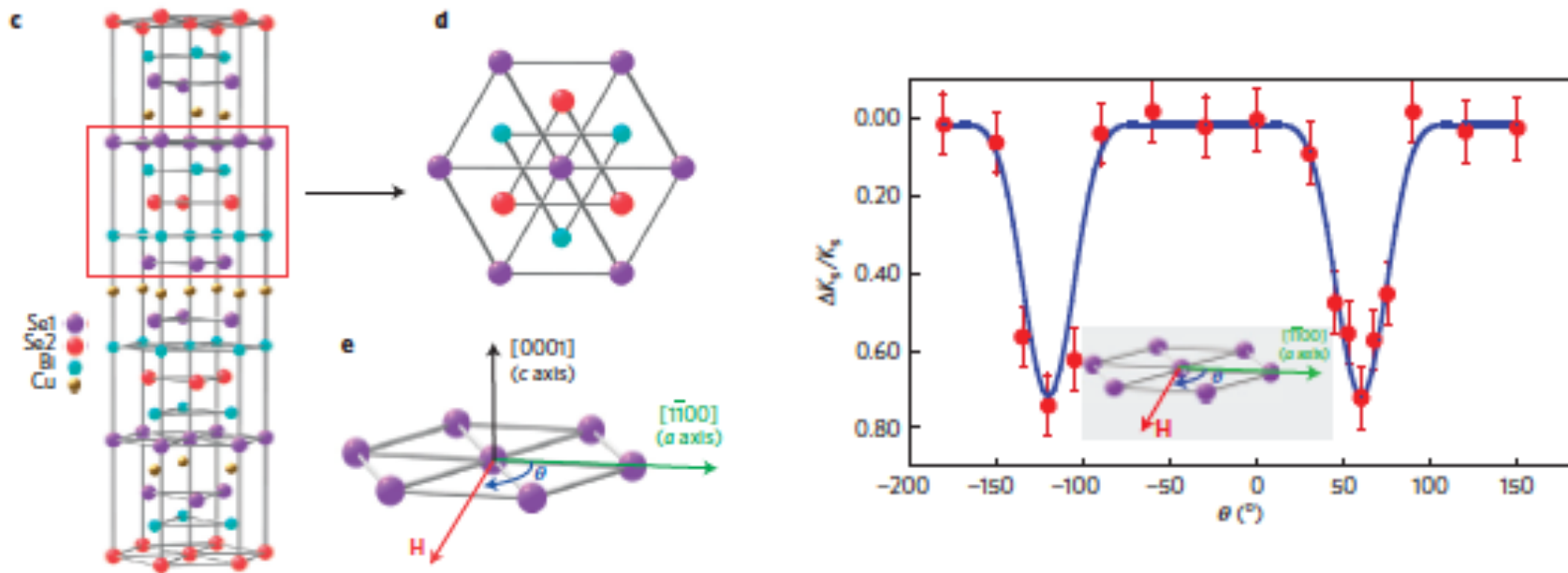
$$n \sim 10^{20} \text{ cm}^{-3}$$

E. Lahoud, E. Maniv, M. Shaviv Petrushevsky, M. Naamneh, A. Ribak, S. Wiedmann, L. Petaccia, Z. Salman, K. B. Chashka, Y. Dagan, and A. Kanigel, Phys. Rev. B **88**, 195107 (2013)

electronic structure becomes increasingly anisotropic

# rotational symmetry breaking

K. Matano, M. Kriener, K. Segawa, Y. Ando, and Guo-qing Zheng, Nature Physics **12**, 852 (2016).



## nematic superconductor

# classification of superconducting states

Landau (1937): order parameter transforms according to the irreducible representations of the symmetry group

Character table for  $D_{3d}$  point group

	E	$2C_3$	$3C'_2$	i	$2S_6$	$3\sigma_d$	linear, rotations	quadratic
$A_{1g}$	1	1	1	1	1	1		$x^2+y^2, z^2$
$A_{2g}$	1	1	-1	1	1	-1	$R_z$	
$E_g$	2	-1	0	2	-1	0	$(R_x, R_y)$	$(x^2-y^2, xy)$ $(xz, yz)$
$A_{1u}$	1	1	1	-1	-1	-1		
$A_{2u}$	1	1	-1	-1	-1	1	$z$	
$E_u$	2	-1	0	-2	1	0	$(x, y)$	

point group  $D_{3d}$

nematicity:  
either  $E_g$  or  $E_u$  pairing

L. Fu and E. Berg, Phys. Rev. Lett. **105**,4 097001 (2010).

J. W. F. Venderbos, V. Kozii, and L. Fu, B **94**, 180504(R) (2016)

odd-parity topological superconductor?

# Two-component superconducting states

$$E_g, \text{ singlet: } \Delta_{\mathbf{p}} = \Delta_x (p_x p_y + \eta p_y p_z) + \Delta_y (p_x^2 - p_y^2 + \eta p_x p_z)$$

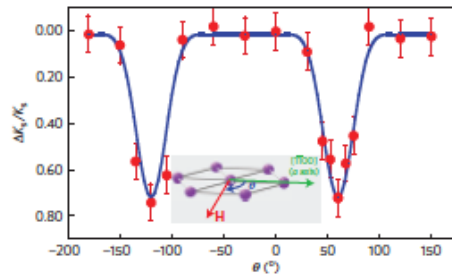
$$E_u, \text{ triplet: } \mathbf{d}_{\mathbf{p}} = \Delta_x (\hat{\mathbf{x}} p_z - \eta \hat{\mathbf{z}} p_x) + \Delta_y (\hat{\mathbf{y}} p_z - \eta \hat{\mathbf{z}} p_y)$$

two options:

**chiral**

$$(\Delta_x, \Delta_y) = \frac{\Delta_0}{\sqrt{2}} (1, \pm i)$$

chiral superconductor  
(breaks time-reversal symmetry)



**nematic**

$$(\Delta_x, \Delta_y) = \Delta_0 (\cos \theta_n, \sin \theta_n)$$

$$\theta_n = \frac{\pi}{2} + n \frac{\pi}{3} \quad \text{or} \quad \theta_n = n \frac{\pi}{3}$$

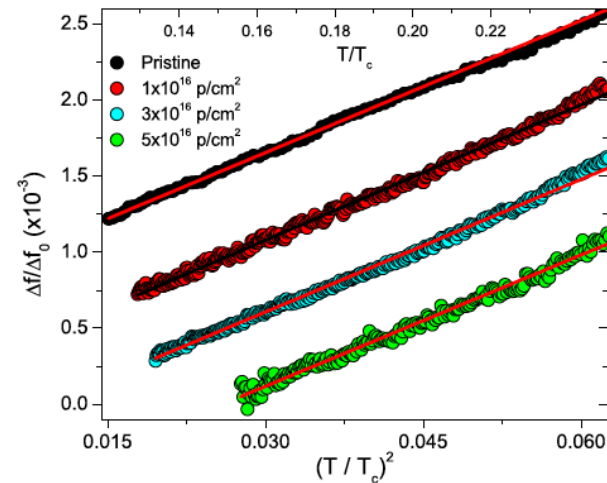
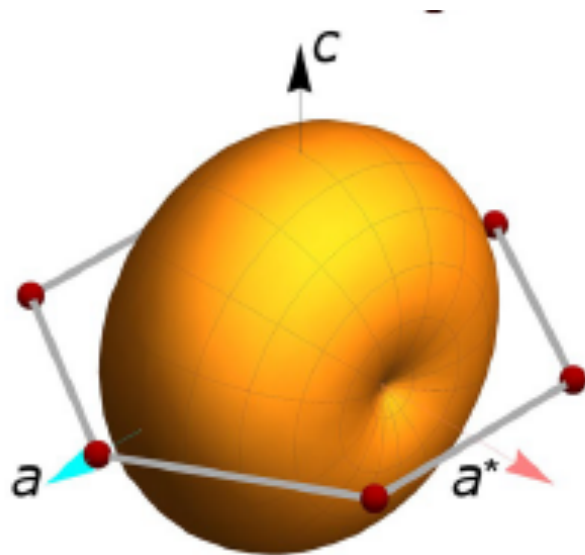
nematic superconductor  
(breaks rotation symmetry)

# Two-component superconducting states

singlet: 
$$\Delta_{\mathbf{p}} = \Delta_x (p_x p_y + \eta p_y p_z) + \Delta_y (p_x^2 - p_y^2 + \eta p_x p_z)$$

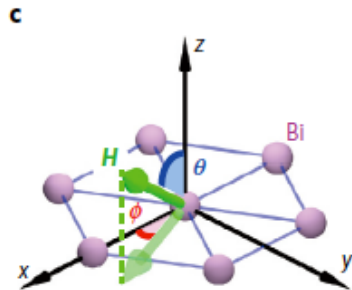
$E_u$ , triplet: 
$$\mathbf{d}_{\mathbf{p}} = \Delta_x (\hat{\mathbf{x}} p_z - \eta \hat{\mathbf{z}} p_x) + \Delta_y (\hat{\mathbf{y}} p_z - \eta \hat{\mathbf{z}} p_y)$$

strong evidence for point nodes

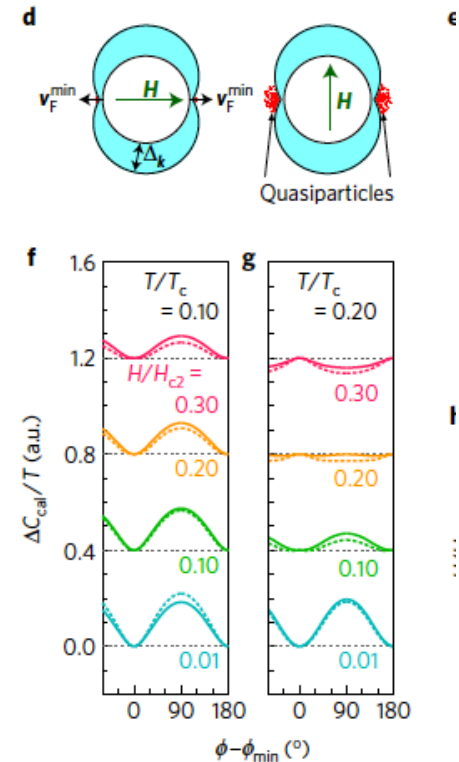
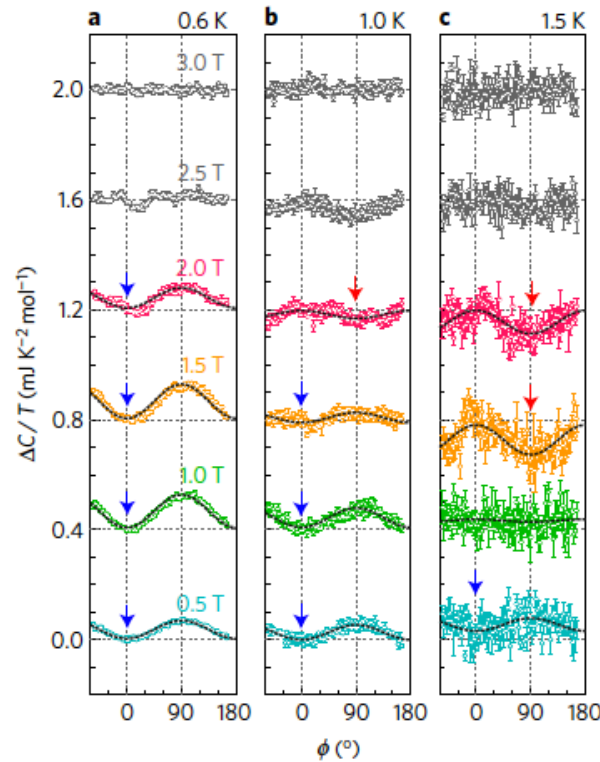


# anisotropic thermodynamic response

Shingo Yonezawa, Kengo Tajiri, Suguru Nakata, Yuki Nagai, Zhiwei Wang, Kouji Segawa, Yoichi Ando, and Yoshiteru Maeno Nat. Phys. **13**, 123 (2017).



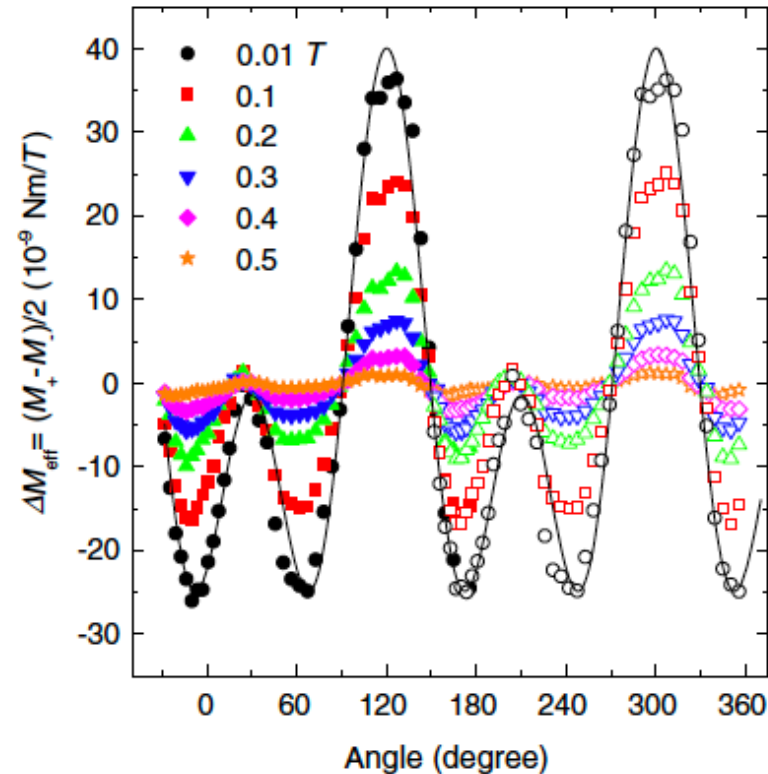
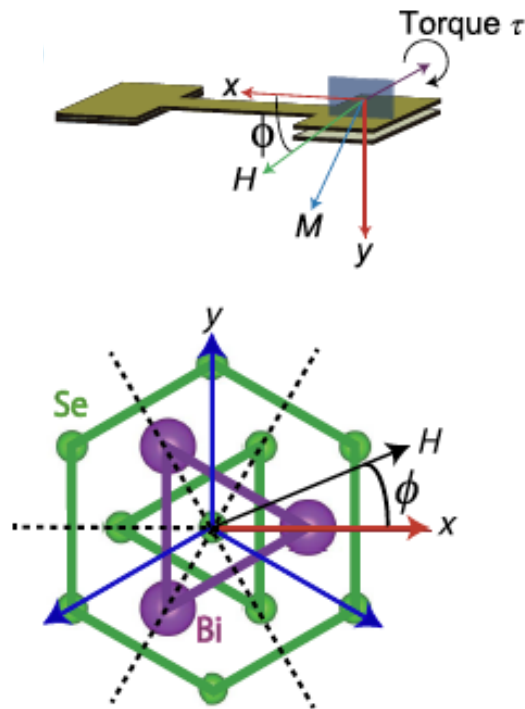
heat capacity as function of in-plane magnetic field



## nematic superconductor

# anisotropic torque

T. Asaba, J. Lawson, C. Tinsman, L. Chen, P. Corbae, G. Li, Y. Qiu,  
Y. S. Hor, L. Fu, and L. Li, Phys. Rev. X 7, 011009 (2017)

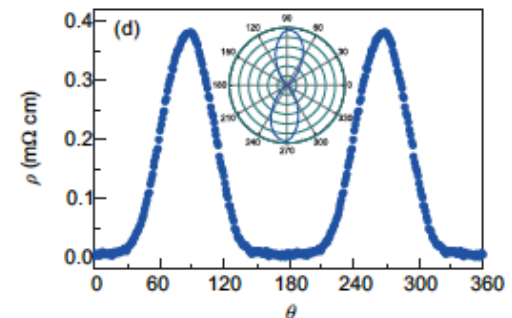
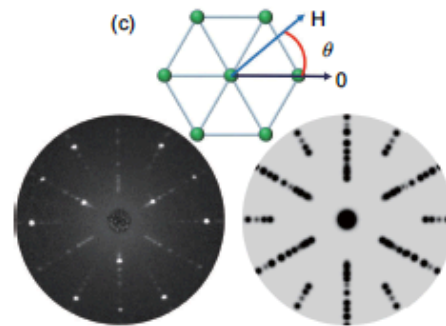
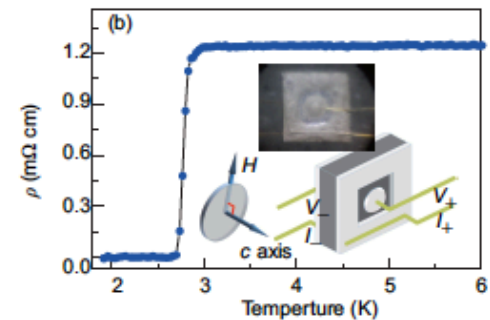
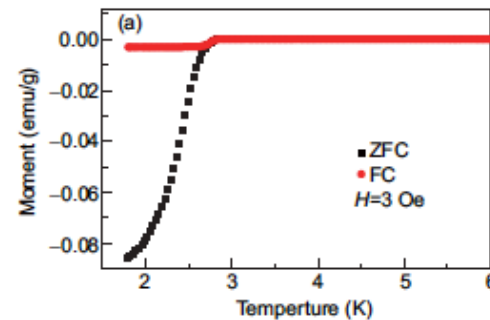


**six and two-fold symmetric susceptibility**

# anisotropic c-axis transport

Guan Du, YuFeng Li, J. Schneeloch, R. D. Zhong, GenDa Gu, Huan Yang, Hai Lin, and Hai-Hu Wen  
Sci. China Phys. Mech. Astron. **60**, 037411 (2017).

anisotropic c-axis  
resistivity as function  
of in-plane magnetic  
field

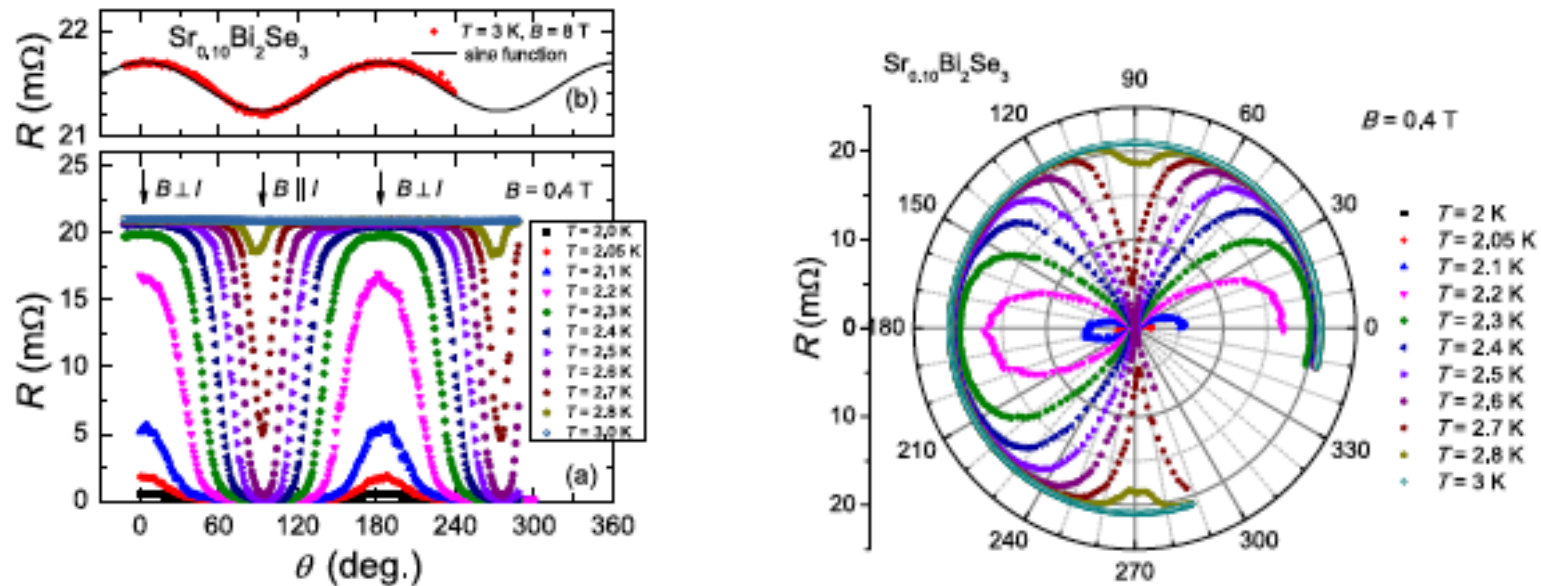


nematic superconductor



# anisotropic upper critical field

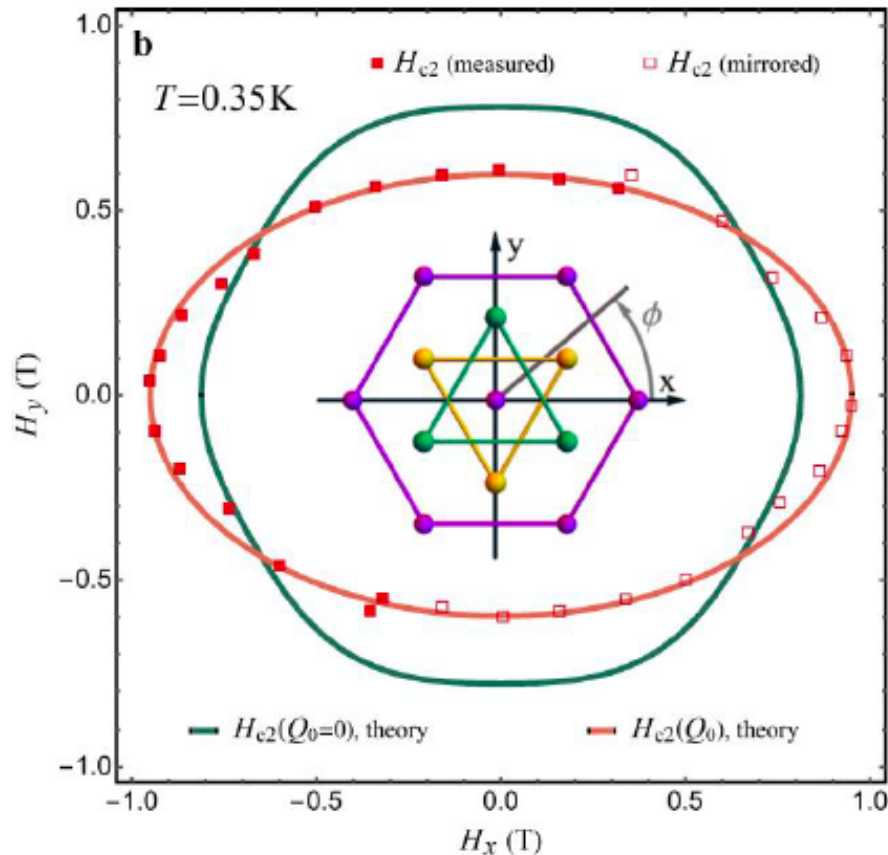
Y. Pan , A. M. Nikitin , G. K. Araizi , Y. K. Huang , Y. Matsushita , T. Naka & A. de Visser.  
 Sci. Rep. 6, 28632 (2016).



Problem: upper-critical field should have six-fold symmetry

P. L. Krotkov and V. P. Mineev, Phys. Rev. B **65**, 224506 (2002)

# anisotropic upper critical field



$Z_3$ -symmetry already  
broken at the transition

→ two-fold symmetric  
upper-critical field

# include superconducting fluctuations

$$S_{\Delta} = S_{\Delta}^{\text{grad}} + \int_r \left( r_0 \Delta^{\dagger} \Delta + u (\Delta^{\dagger} \Delta)^2 + v (\Delta^{\dagger} \tau_y \Delta)^2 \right)$$

form bilinear combinations  
that transform non-trivially

$$\hat{Q} = \begin{pmatrix} q_1 & q_2 \\ q_2 & -q_1 \end{pmatrix}$$

collective variable that can  
independently condense

$$q_1 = \Delta_x^* \Delta_x - \Delta_y^* \Delta_y$$

$$q_2 = \Delta_x^* \Delta_y + \Delta_y^* \Delta_x$$

# collective nematic fluctuations

$$S = \frac{1}{8} \int_x \left( \frac{1}{v} \text{tr} \left( \hat{Q} \hat{Q} \right) - \frac{1}{u+v} \text{tr} \left( \hat{Q}_0 \hat{Q}_0 \right) \right) + \int_k \text{tr} \log \chi_k [\hat{Q}]^{-1}.$$

s.c. fluctuations

nematic order parameter

$$\langle Q_{\alpha\beta} \rangle = Q \left( n_\alpha n_\beta - \frac{1}{2} \delta_{\alpha\beta} \right)$$

$\nearrow$   
 $\propto \langle |\Delta|^2 \rangle$

due to s.c. fluctuations

$\mathbf{n} = (\cos \theta_n, \sin \theta_n)$   
 nematic director

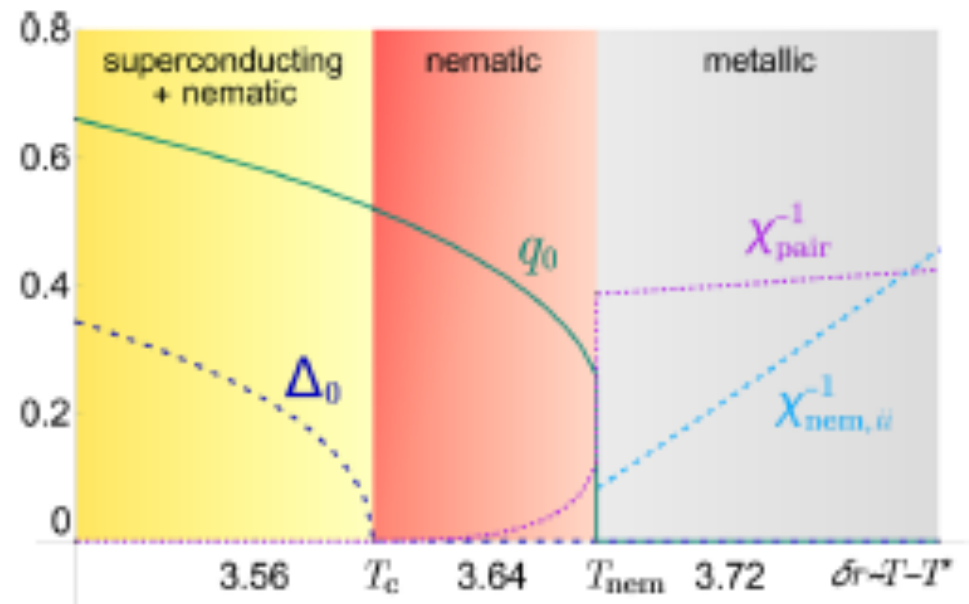
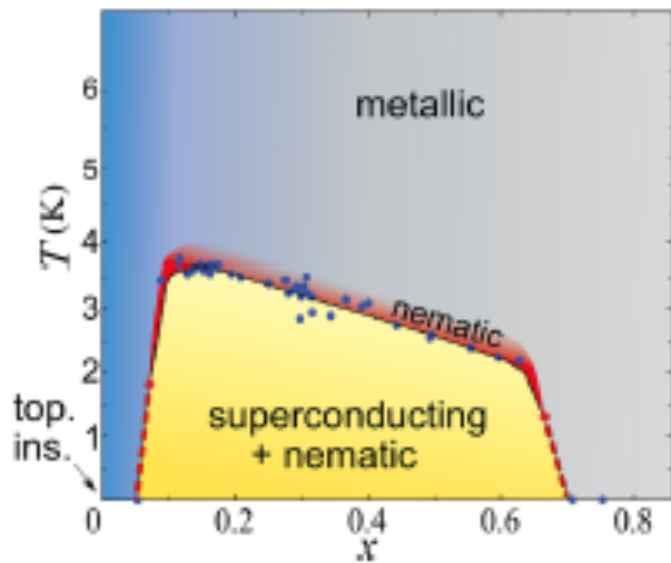
small-Q expansion

$$\hat{Q} = \begin{pmatrix} q_1 & q_2 \\ q_2 & -q_1 \end{pmatrix}$$

$$S = S_{\text{grad}} + \int_x \left( \frac{r}{2} (q_1^2 + q_2^2) - \frac{g}{3} q_1 (q_1^2 - 3q_2^2) + \frac{g'}{4} (q_1^2 + q_2^2)^2 \right)$$

**three state Potts model** J. P. Straley & M. E. Fisher, J. Phys. A **6**, 1310 (1973).

# Nematic order above $T_c$



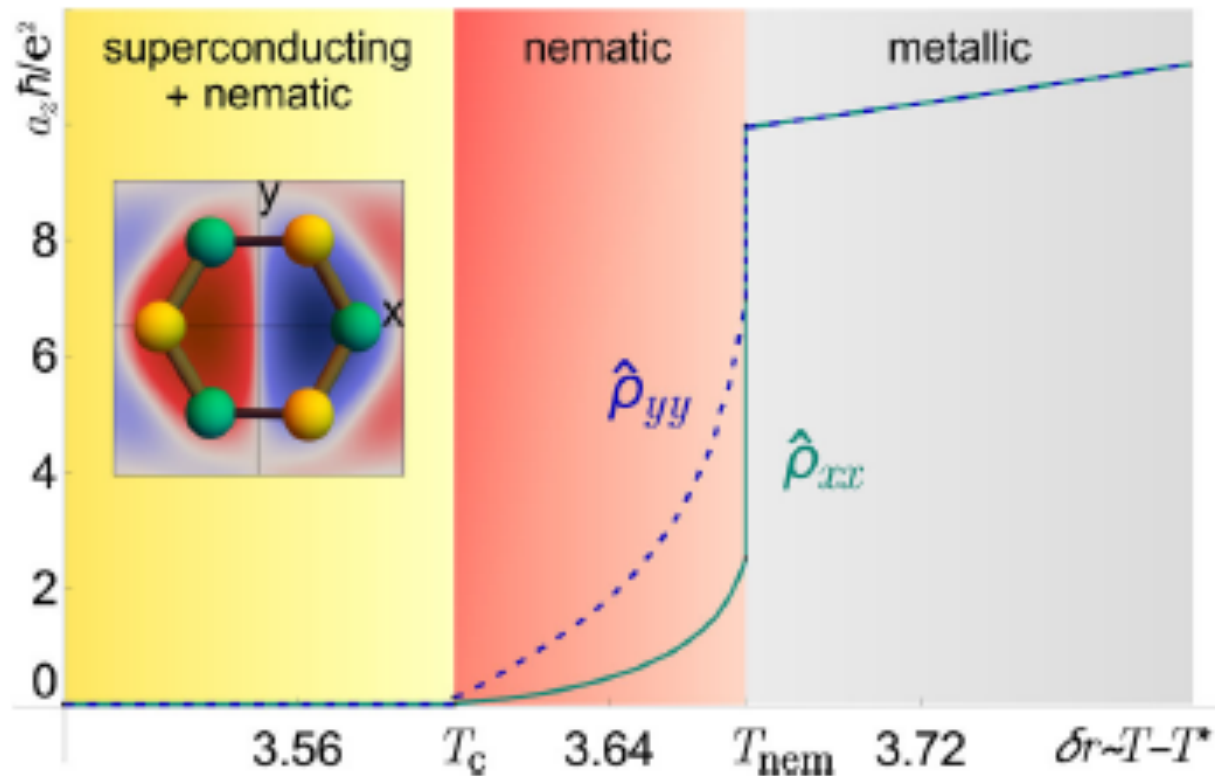
## nematic transition in the 3 states Potts model class (1<sup>st</sup> order)

- dramatic increase of the pair susceptibility at the nematic transition
- softening of nematic fluctuations above  $T_{nem}$

# implications: i) transport anisotropy

Broken rotation symmetry + large superconducting fluctuations

→ large anisotropic para-conductivity



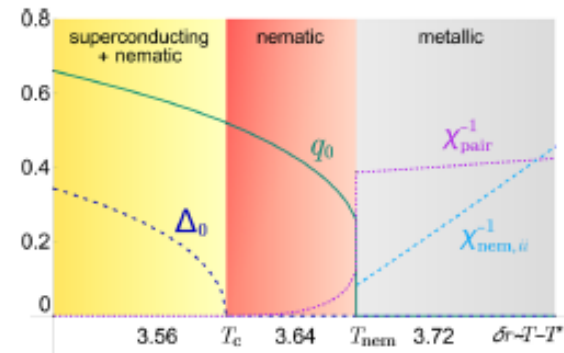
# implications: ii) lattice softening + Raman

coupling to strain

$$H \rightarrow H + \lambda \int d^3x \sum_{\alpha,\beta} Q_{\alpha,\beta} \epsilon_{\beta,\alpha} + \frac{1}{4} \int_x C_{E_g}^0 \left[ (\epsilon_{xx} - \epsilon_{yy})^2 + 4\epsilon_{xy} \right].$$

softening of the elastic modulus

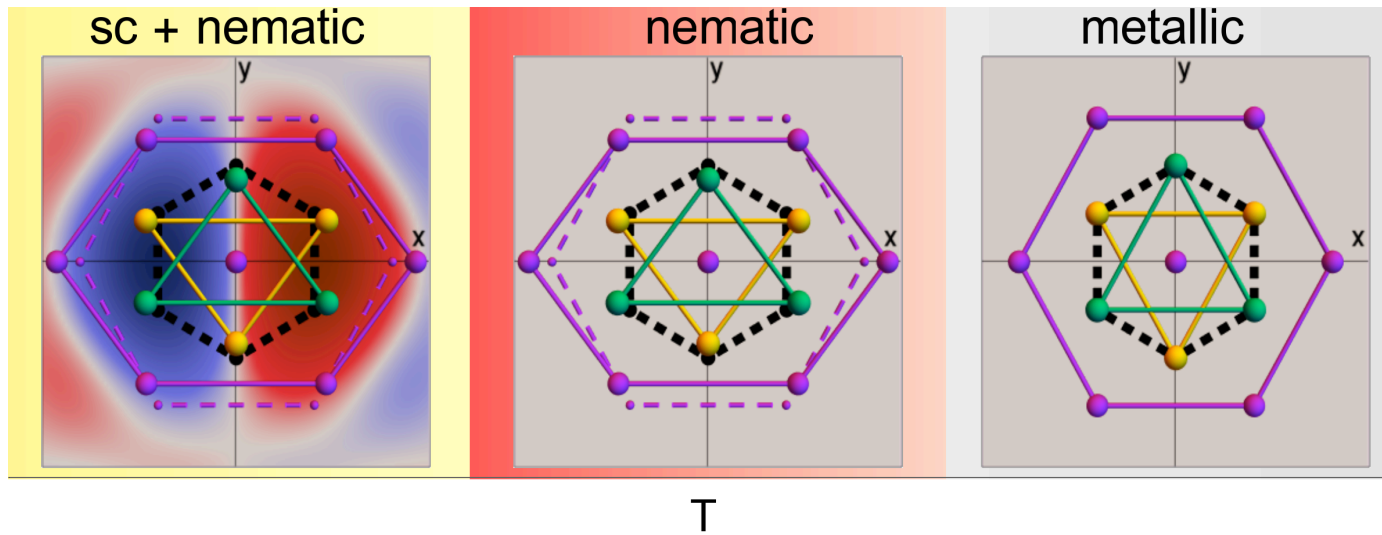
$$1/C_{E_g} = 1/C_{E_g}^{(0)} + \frac{\lambda^2}{2C_{E_g}^{(0)}} \text{tr} \hat{\chi}_{\text{nem}}$$



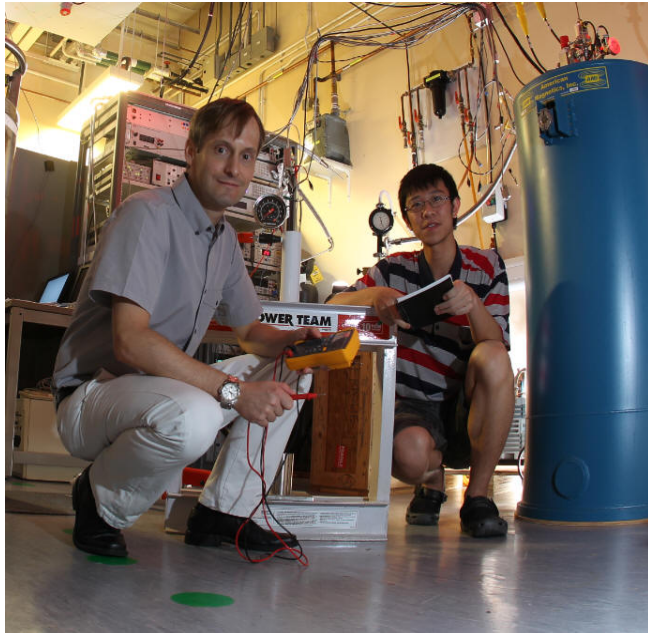
enhancement of the electronic Raman response in the  $E_g$ -channel

# implications: iii) lattice distortion

below  $T_{\text{nem}}$  : distortion of the lattice



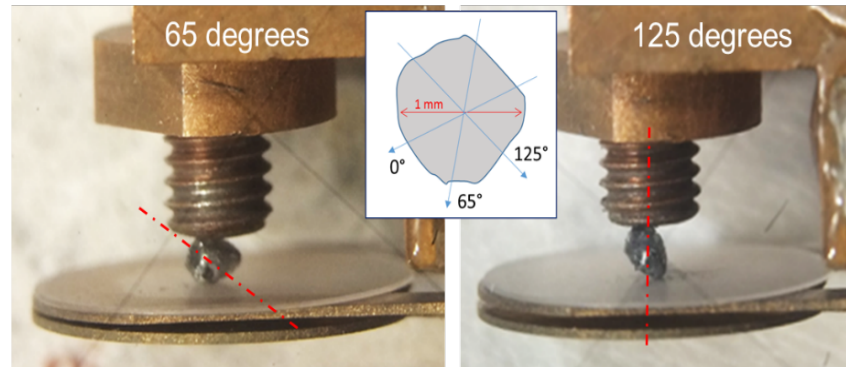
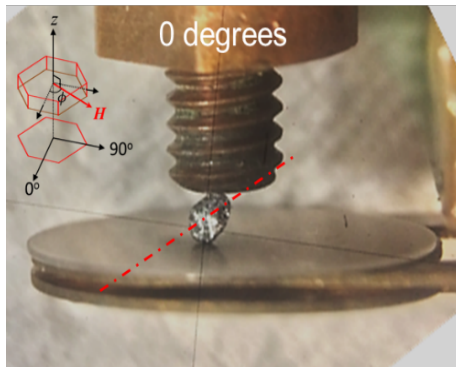




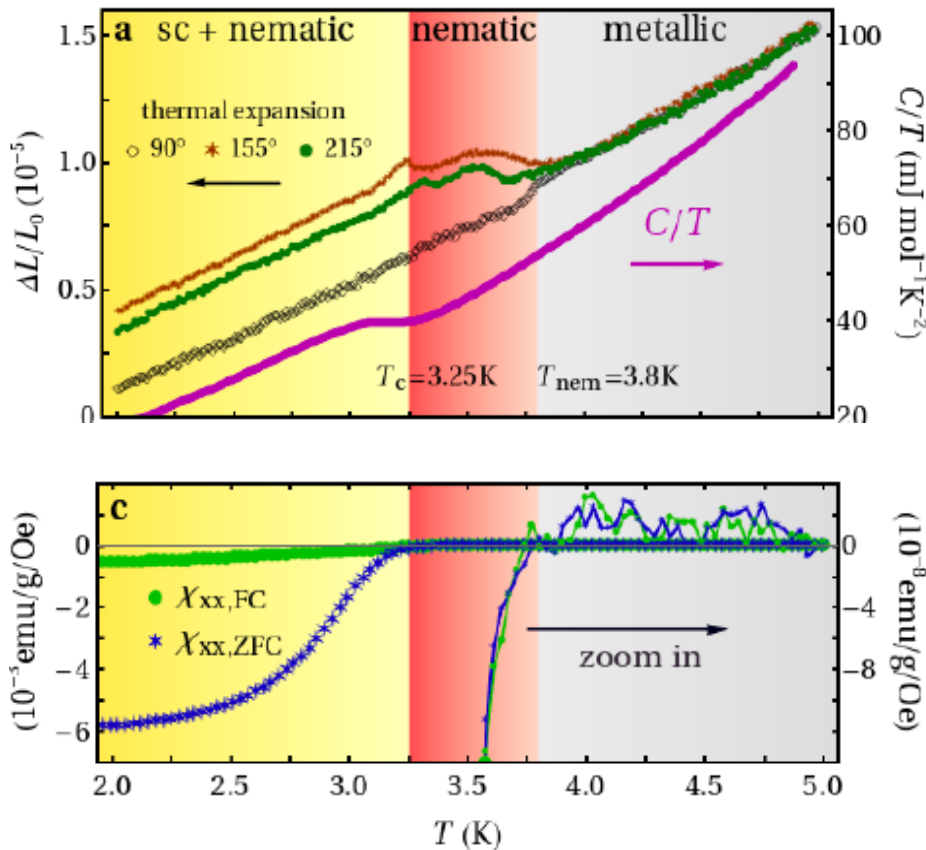
## Rolf Lortz

The Hong Kong University  
of Science & Technology

$\text{Nb}_{0.25}\text{Bi}_2\text{Se}_3$  single crystal mounted in the  
capacitive dilatometer along the three  
measured directions within the  $\text{Bi}_2\text{Se}_3$  basal  
plane



# Thermal expansion and magnetization measurements



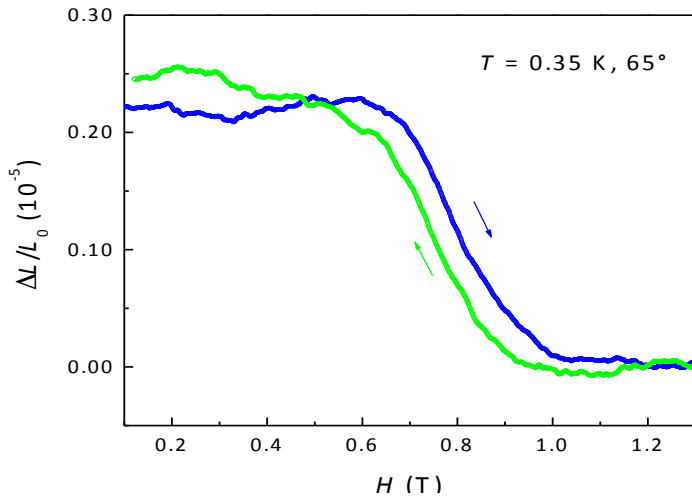
strong evidence for vestigial superconducting phase !

$$\langle \Delta_{x,y} \rangle = 0$$

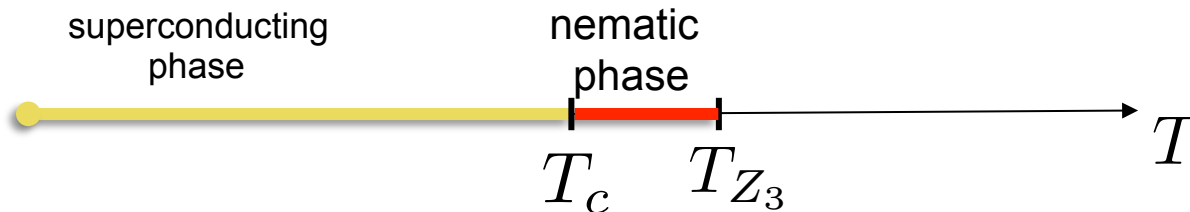
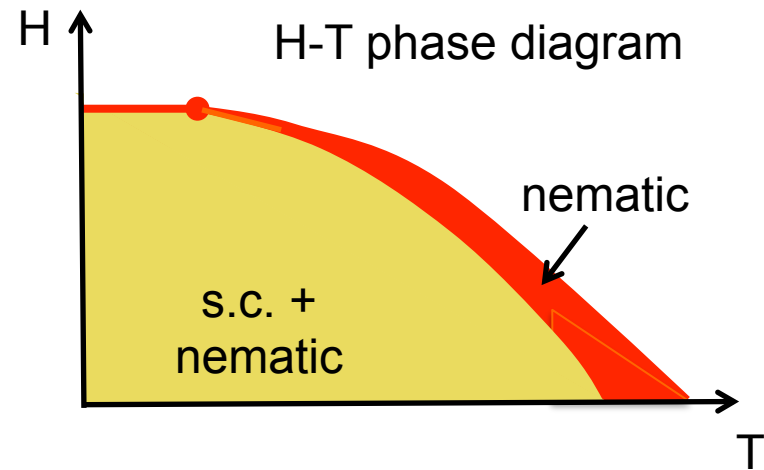
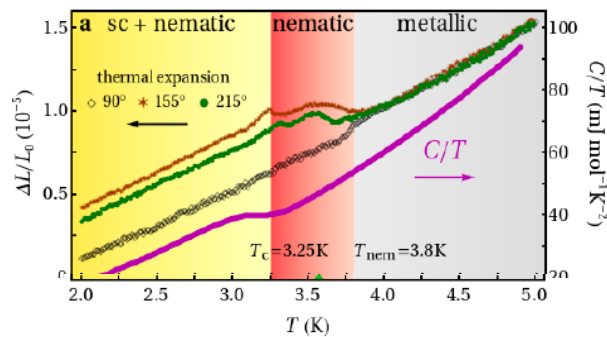
$$\langle \Delta_x^* \Delta_x - \Delta_y^* \Delta_y \rangle \neq 0$$

**condensation of anisotropic s.c. fluctuations**

# magneto-striction measurements



magnetic field  $\sim H_{c2}$  restores rotation invariance  
 nematic state  $\leftrightarrow$  s.c. state



# Vestigial phases in superconductors:

## Example: p-wave superconductivity in a tetragonal crystal

superconducting order parameter:

$$\langle c_{\mathbf{p}\alpha} c_{-\mathbf{p}\beta} \rangle = [\mathbf{d}_{\mathbf{p}} \cdot \boldsymbol{\sigma} i \sigma^y]_{\alpha\beta} \quad \mathbf{d}_{\mathbf{p}} = \hat{\mathbf{z}} (\Delta_x p_x + \Delta_y p_y)$$

$$(\Delta_x, \Delta_y)$$

$$(1, 0), (0, 1)$$

$$(1, \pm 1)$$

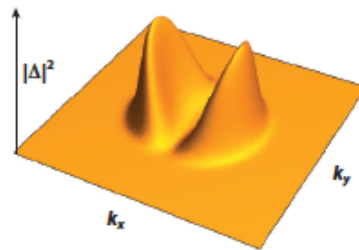
$$(1, \pm i)$$

$$p_x, p_y$$

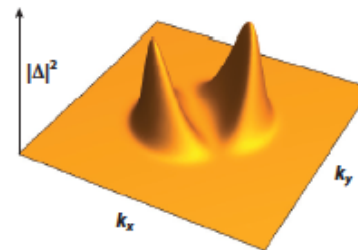
$$p_x \pm p_y$$

$$p_x \pm i p_y$$

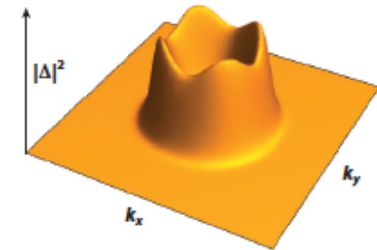
**a**  $B_{1g}$  nematic



**b**  $B_{2g}$  nematic



**c**  $A_{2g}$  TRS breaking



# Vestigial phases in superconductors:

Example: p-wave superconductivity in a tetragonal crystal

superconducting order parameter:

$$\langle c_{\mathbf{p}\alpha} c_{-\mathbf{p}\beta} \rangle = [\mathbf{d}_{\mathbf{p}} \cdot \boldsymbol{\sigma} i \sigma^y]_{\alpha\beta}$$

$$\mathbf{d}_{\mathbf{p}} = \hat{\mathbf{z}} (\Delta_x p_x + \Delta_y p_y)$$

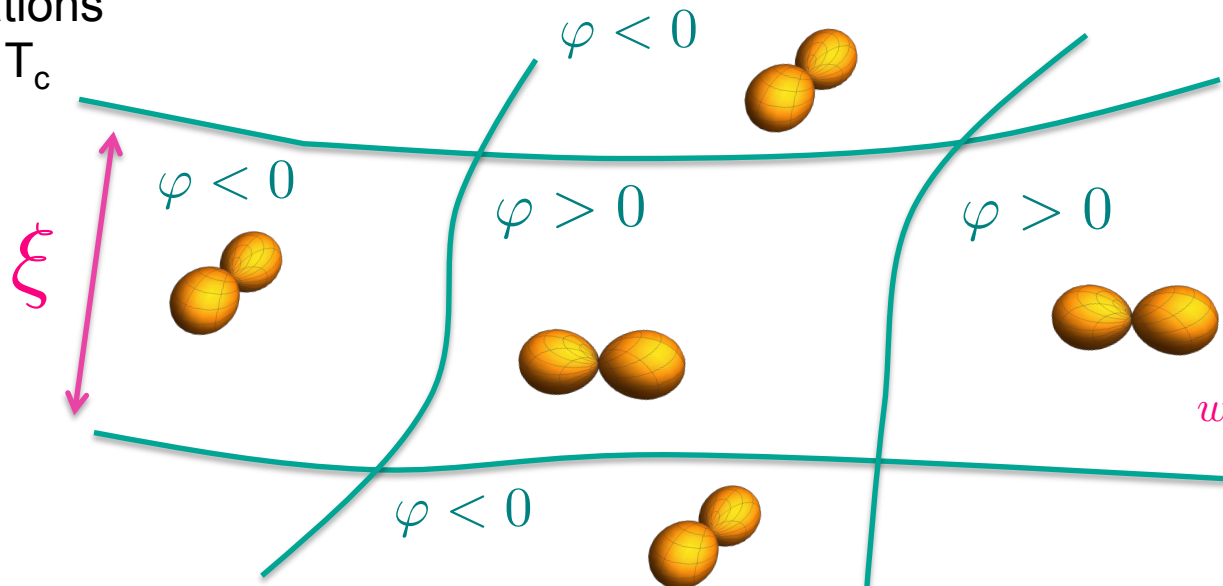
$$(\Delta_x, \Delta_y)$$

$$(1, 0), (0, 1)$$

$$p_x, p_y$$

$$E = -w \int d^d x (\Delta_x^2 - \Delta_y^2)^2$$

fluctuations  
above  $T_c$



Ising variable

$$\varphi = \langle \Delta_x^2 - \Delta_y^2 \rangle \neq 0$$

orders above  $T_c$

$$w \langle \Delta^2 \rangle^2 (T_{\text{Ising}})^2 \xi^d \sim T_{\text{Ising}}$$

# Vestigial phases in superconductors:

## Example: p-wave superconductivity in a tetragonal crystal

superconducting order parameter:

$$\langle c_{\mathbf{p}\alpha} c_{-\mathbf{p}\beta} \rangle = [\mathbf{d}_{\mathbf{p}} \cdot \boldsymbol{\sigma} i \sigma^y]_{\alpha\beta}$$

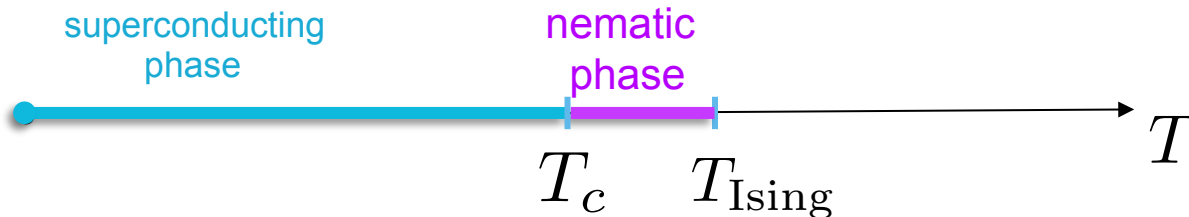
$$\mathbf{d}_{\mathbf{p}} = \hat{\mathbf{z}} (\Delta_x p_x + \Delta_y p_y)$$

$$(\Delta_x, \Delta_y)$$

$$(1, 0), (0, 1)$$

$$p_x, p_y$$

$$E = -w \int d^d x (\Delta_x^2 - \Delta_y^2)^2$$



Ising variable

$$\varphi = \langle \Delta_x^2 - \Delta_y^2 \rangle \neq 0$$

orders above  $T_c$

$$w \langle \Delta^2 \rangle^2 (T_{\text{Ising}})^2 \xi^d \sim T_{\text{Ising}}$$

split transition robust in two-dimensional and strongly anisotropic systems

formal analysis: nonlinear  $\sigma$  model, renormalization group, self-consistent Gaussian, large-N expansions

# Vestigial phases in superconductors:

## Example: p-wave superconductivity in a tetragonal crystal

superconducting order parameter:

$$\langle c_{\mathbf{p}\alpha} c_{-\mathbf{p}\beta} \rangle = [\mathbf{d}_{\mathbf{p}} \cdot \boldsymbol{\sigma} i \sigma^y]_{\alpha\beta} \quad \mathbf{d}_{\mathbf{p}} = \hat{\mathbf{z}} (\Delta_x p_x + \Delta_y p_y)$$

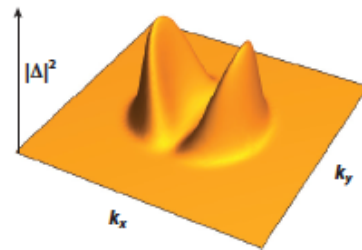
$$(\Delta_x, \Delta_y)$$

$$(1, 0), (0, 1)$$

$$(1, \pm 1)$$

$$(1, \pm i)$$

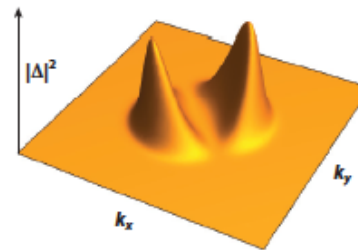
**a**  $B_{1g}$  nematic



$x^2-y^2$  nematic

$$\varphi = \Delta_x^* \Delta_x - \Delta_y^* \Delta_y$$

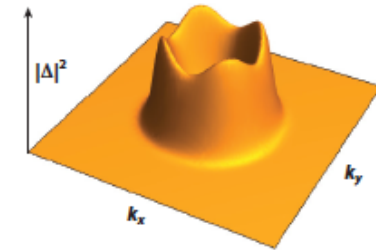
**b**  $B_{2g}$  nematic



$xy$  nematic

$$\varphi = \Delta_x^* \Delta_y + \Delta_y^* \Delta_x$$

**c**  $A_{2g}$  TRS breaking



time reversal symmetry

$$\varphi = i (\Delta_x^* \Delta_y - \Delta_y^* \Delta_x)$$

there is no ordinary second order transition to a multicomponent superconductor

# Vestigial phases in superconductors:

Example: p-wave superconductivity in a tetragonal crystal

superconducting order parameter:

$$\langle c_{p\alpha} c_{-p\beta} \rangle = [\mathbf{d}_p \cdot \boldsymbol{\sigma} i \sigma^y]_{\alpha\beta} \quad \mathbf{d}_p = \hat{\mathbf{z}} (\Delta_x p_x + \Delta_y p_y)$$

**Often, superconductors don't fluctuate enough.**

$$\Delta \ll E_F \implies \xi_0 \approx v_F / \Delta \gg \lambda_F \approx v_F / E_F$$

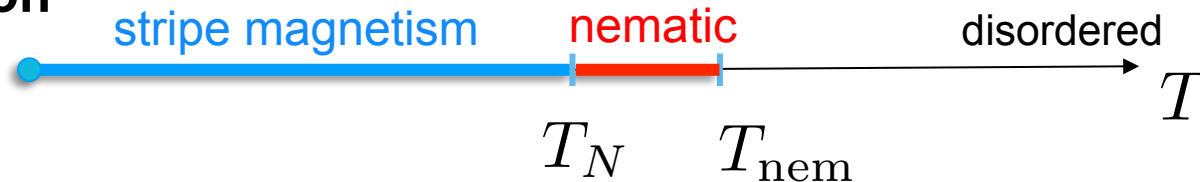
**Expect vestigial phases in systems with strong fluctuations, like doped  $\text{Bi}_2\text{Se}_3$  or  $\text{MoSe}_3$  ...**

there is no ordinary second order transition to a multicomponent superconductor



# vestigial nematicity in iron-based systems

magnetic fluctuations split the magnetic and nematic phase transition

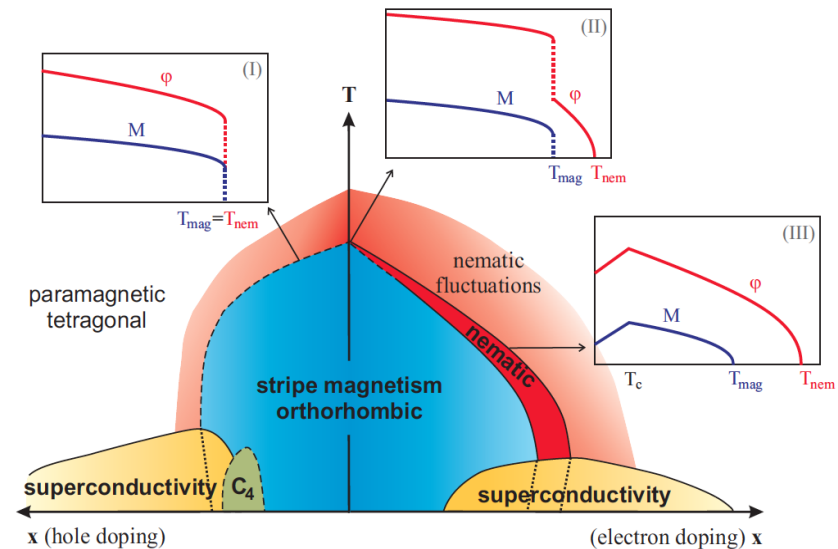


time-reversal symmetry and rotational symmetry are separately broken

- P. Chandra, P. Coleman, A. Larkin, PRL (1990),
- C. Xu et al. PRB (2008),
- C. Fang et al. PRL (2008),
- Q. Si and E. Abrahams, PRL (2008)
- R. M. Fernandes et al. PRL (2010)

$$\mathbf{S}(\mathbf{r}) = \mathbf{S}_x(\mathbf{r}) e^{i\mathbf{Q}_x \cdot \mathbf{r}} + \mathbf{S}_y(\mathbf{r}) e^{i\mathbf{Q}_y \cdot \mathbf{r}}$$

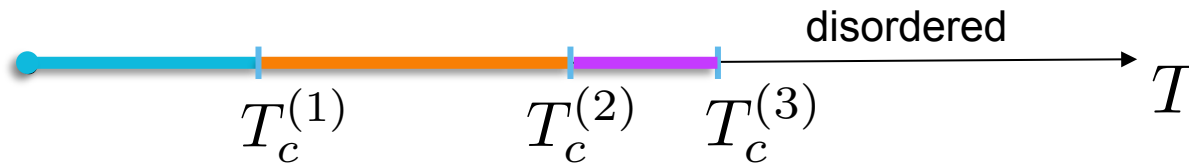
$$\varphi = \langle \mathbf{S}_x^2 - \mathbf{S}_y^2 \rangle$$



R. M. Fernandes, A. Chubukov, and J. S.,  
 Nature Physics **10**, 97 (2014).

# Conclusions:

## melting of an order parameter via a cascade of transitions



primary order parameter  $\rightarrow$  composites of the order parameter

