

# Dirac and Weyl fermions: from Gor'kov equations to Standard Model of particle physics

$\hat{a}$   $\hat{a}$

Aalto University

*G. Volovik*

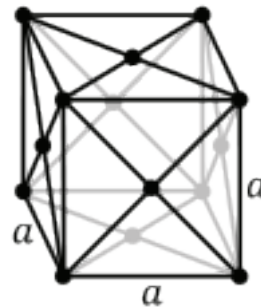
Landau Institute

RUSSIAN ACADEMY OF SCIENCES

L. D Landau  
INSTITUTE FOR  
THEORETICAL  
PHYSICS



14 Dirac points



28 Weyl points

24 Dirac points  
in Standard Model

48 Weyl points  
in Standard Model



# Dirac lines, Dirac points & Weyl points in superconductors

## Superconducting classes in heavy-fermion systems

G. E. Volovik and L. P. Gor'kov

*L. D. Landau Institute of Theoretical Physics, USSR Academy of Sciences*

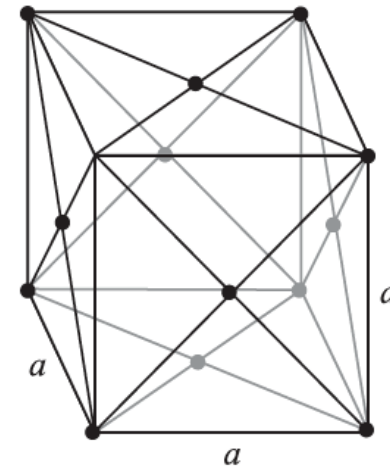
(Submitted 18 October 1984)

Zh. Eksp. Teor. Fiz. **88**, 1412–1428 (April 1985)

**TABLE I.** Superconductivity classes for one-dimensional representations of the cubic group.

Representation	Class	Heat capacity $C_e(T)$
$A_1$ $\left\{ \begin{array}{l} S=0, A_{1g} \\ S=1, A_{1u} \end{array} \right.$	$O \times R$	$\exp(-\Delta/T)$
$A_2$ $\left\{ \begin{array}{l} S=0, A_{2g} \\ S=1, A_{2u} \end{array} \right.$	$O(T) \times R$	$\exp(-\Delta/T)$ $T^2$ $T^3$

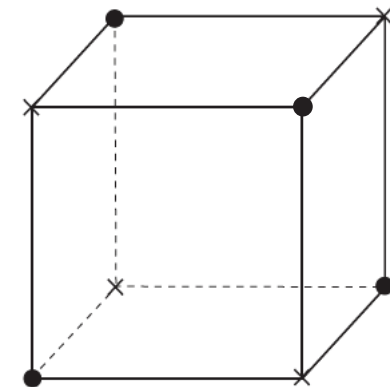
Dirac lines  
Dirac points



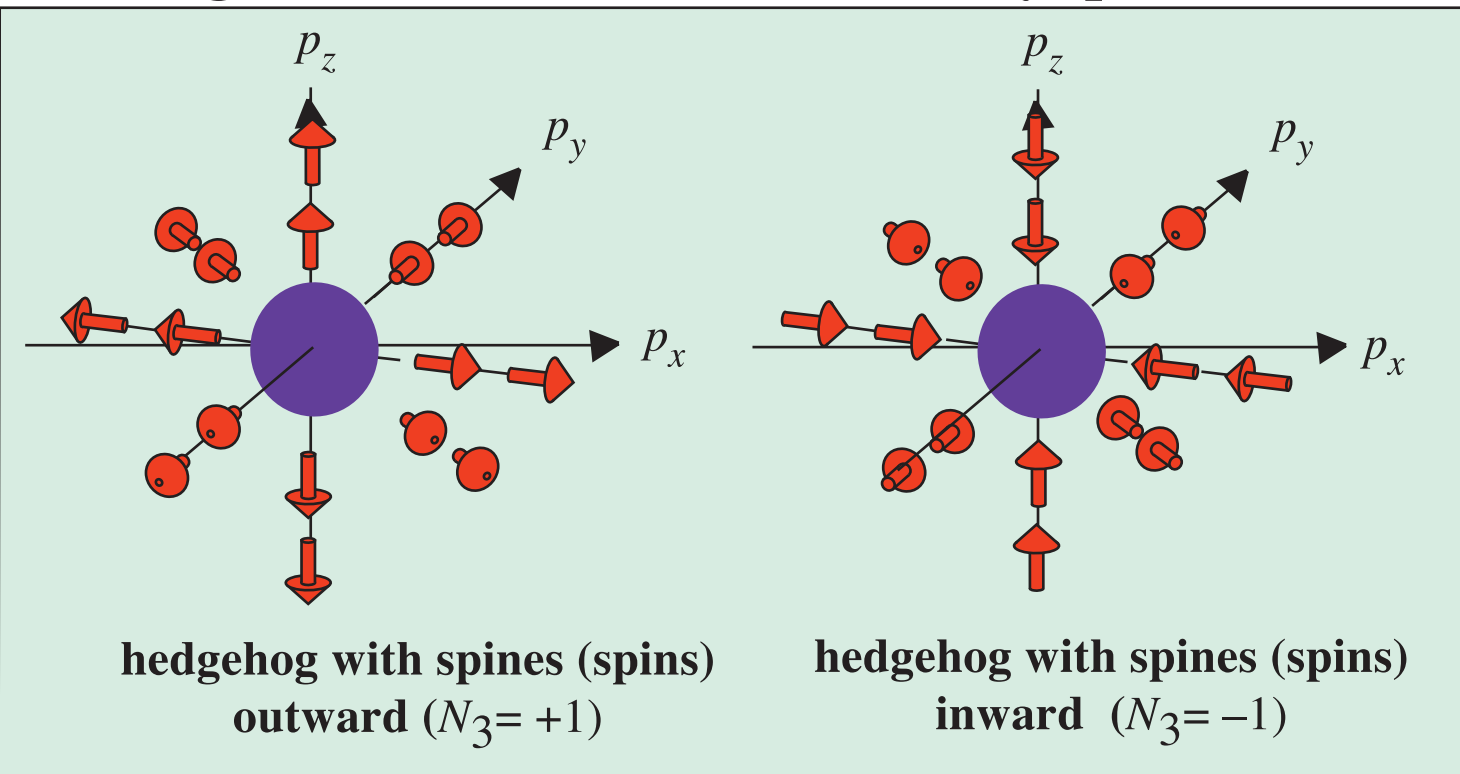
**TABLE II.** Superconductivity classes from two-dimensional representations  $E$  of the cubic group.

$(\eta_1, \eta_2)$	Class	$C_e(T)$	Degen-eracy	Magn. properties
$(1, 0)$ $\left\{ \begin{array}{l} S=0, E_g \\ S=1, E_u \end{array} \right.$	$O(D_2)$	$T^3$ $T^3$	2 2	$A$ $A$
$(1, -1)$ $\left\{ \begin{array}{l} S=0, E_g \\ S=1, E_u \end{array} \right.$	$D_4^{(1)}(D_2) \times R$	$T^2$ $T^3$	3 3	— —
$(1, 1)$ $\left\{ \begin{array}{l} S=0, E_g \\ S=1, E_u \end{array} \right.$	$D_4 \times R$	$\exp(-\Delta/T)$ $\exp(-\Delta/T)$	3 3	— —

Weyl points  
Weyl points  
Dirac lines  
Dirac points



# Right-handed & left-handed Weyl particles



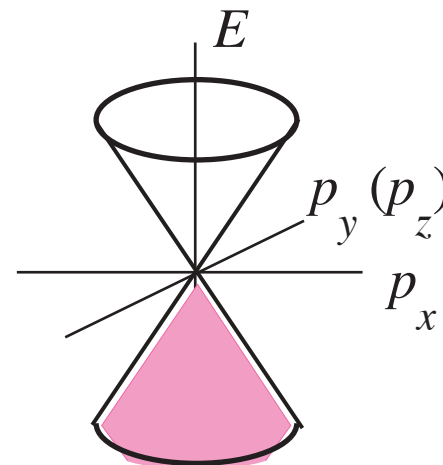
right  $H = +c \sigma \cdot \mathbf{p}$

$H = -c \sigma \cdot \mathbf{p}$  left

$$N = \frac{e_{\alpha\beta\mu\nu}}{24\pi^2} \text{tr} \left[ \int_{\sigma} dS^{\alpha} G \partial_{p\beta} G^{-1} G \partial_{p\mu} G^{-1} G \partial_{p\nu} G^{-1} \right]$$

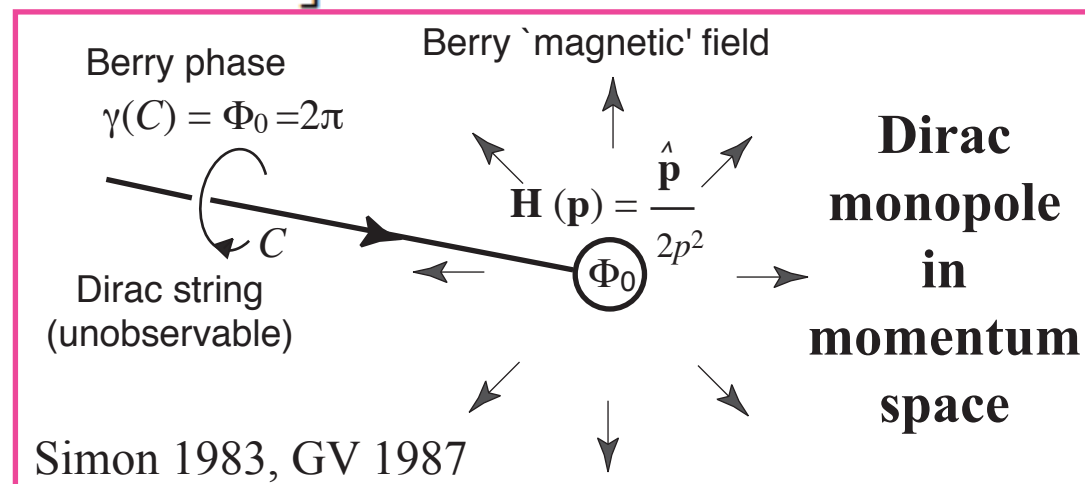
in superconductors & chiral 3He-A  
topological invariant for Weyl points  
is expressed in terms of  
Gor'kov Green's function (1958)

$$E^2 = c^2 p^2$$



Weyl point:  
conical (diabolical)  
crossing point  
in fermionic spectrum  
in momentum space

boojums  
(GV, Mineev 1982)



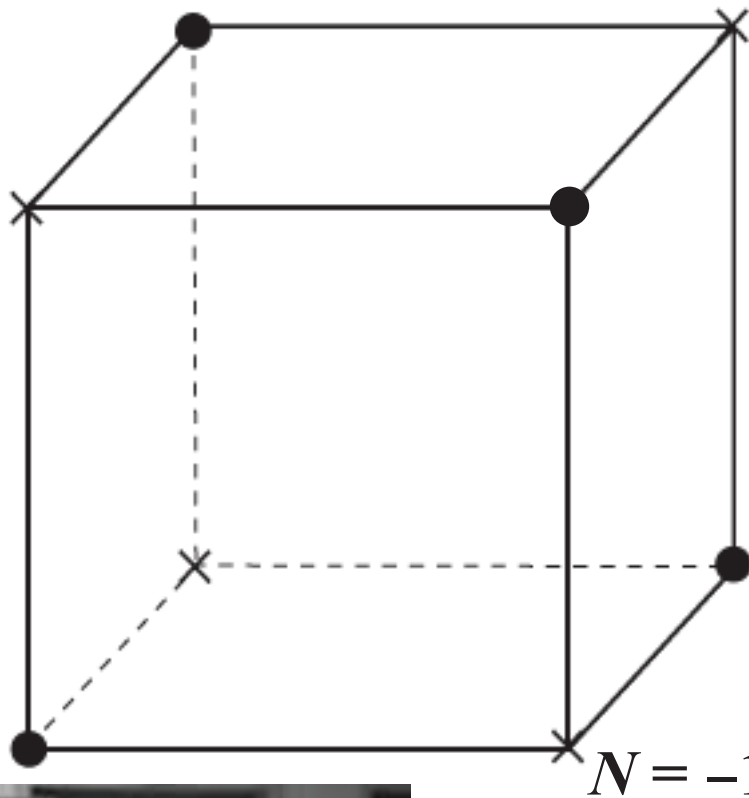
# 8 Weyl fermions in superconductors with broken time reversal symmetry: class $O(D_2)$

$$N = \frac{e_{\alpha\beta\mu\nu}}{24\pi^2} \text{tr} \left[ \int_{\sigma} dS^{\alpha} G \partial_{p\beta} G^{-1} G \partial_{p\mu} G^{-1} G \partial_{p\nu} G^{-1} \right]$$

topological invariant for Weyl points  
in terms of Gor'kov Green's function

$$G = 1/(i\omega - H)$$

$$H = \epsilon(\mathbf{p})\tau_3 + a\frac{1}{2}(2p_x^2 - p_y^2 - p_z^2)\tau_1 + a\frac{\sqrt{3}}{2}(p_y^2 - p_z^2)\tau_2$$

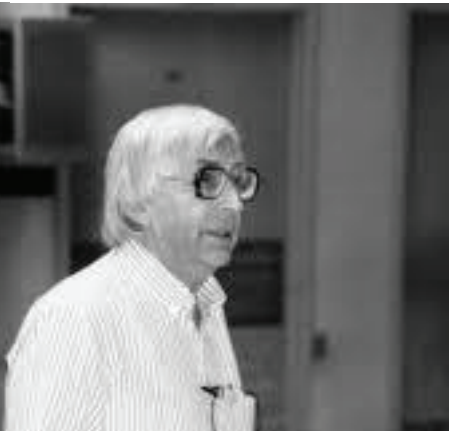


$N = +1$   
right quasiparticle

$N = -1$   
left quasiparticle

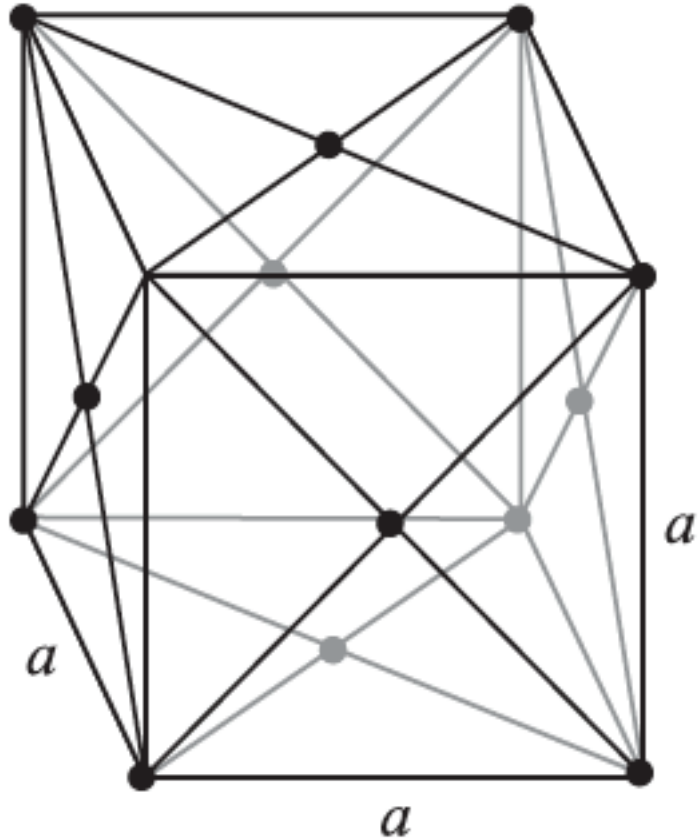
**Superconducting classes in heavy-fermion systems**

G. E. Volovik and L. P. Gor'kov 1985



# 14 Dirac fermions in superconductors with time reversal symmetry: class $O(T) \times R$

$$N = \frac{e_{\alpha\beta\mu\nu}}{24\pi^2} \text{tr} \left[ \tau_2 \int_{\sigma} dS^{\alpha} G \partial_{p_{\beta}} G^{-1} G \partial_{p_{\mu}} G^{-1} G \partial_{p_{\nu}} G^{-1} \right]$$



topological invariant for Dirac points  
in terms of Gor'kov Green's functions

$$\hat{G}^{-1} = i\omega + \tau_3 \epsilon(\mathbf{p}) + \tau_1 \sigma_i d_i(\mathbf{p}),$$

where the vector gap function is

$$\mathbf{d}(\mathbf{p}) \propto \hat{\mathbf{x}} p_x (p_y^2 - p_z^2) + \hat{\mathbf{y}} p_y (p_z^2 - p_x^2) + \hat{\mathbf{z}} p_z (p_x^2 - p_y^2).$$

**14 Dirac fermions split to 28 Weyl fermions  
when time reversal symmetry is violated**

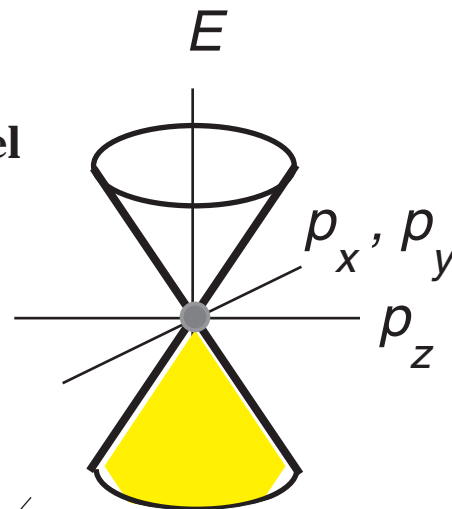
**Superconducting classes in heavy-fermion systems**

# Two topological scenaria in Standard Model

chiral (left & right)  
electrons in Standard Model

Marginal Dirac point

$$N_3 = +1 - 1 = 0$$

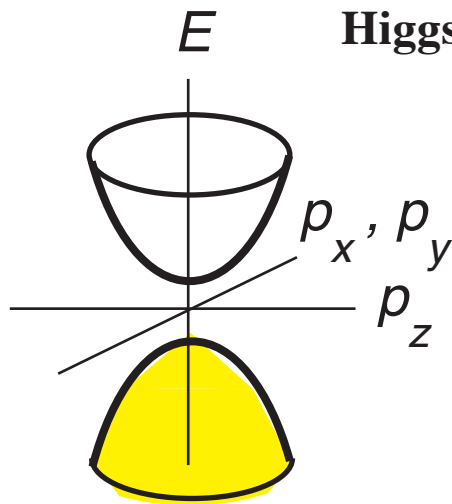


●  $N_3 = +1$

●  $N_3 = 0$

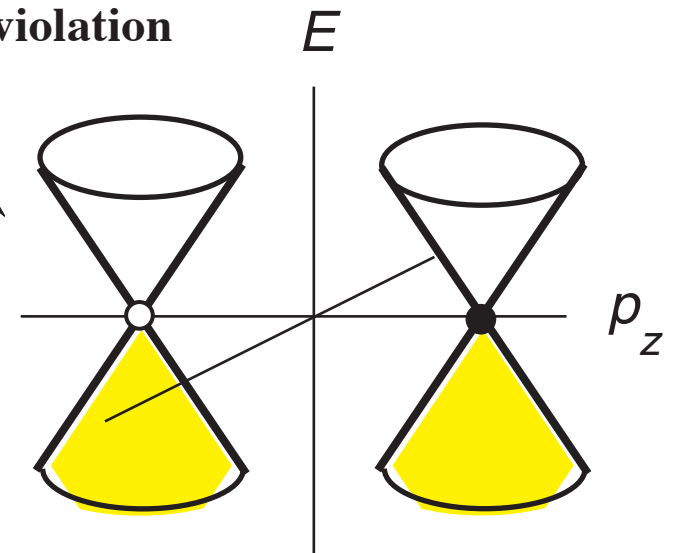
○  $N_3 = -1$

Higgs mechanism



Marginal Dirac point disappears,  
massive Dirac electron is formed

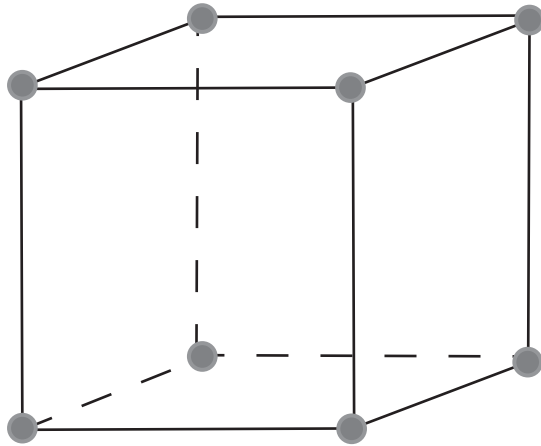
CPT violation



Marginal Dirac point splits  
into two topologically protected  
Weyl points with  $N_3 = +1$  and  $N_3 = -1$

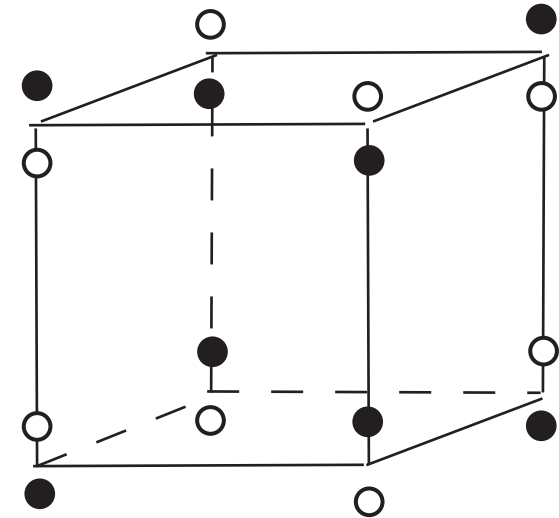
possible quantum phase transition  
in neutrino sector

# splitting of Dirac points to pairs of Weyl points by breaking of time reversal symmetry



8 Dirac points

- $N_3 = 0$
- $N_3 = +1$
- $N_3 = -1$

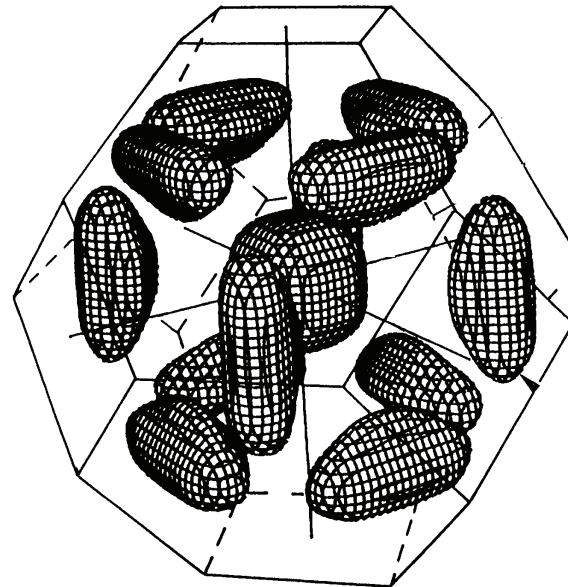


16 Weyl points



"Standard Model" with  
8 left-handed  $N_3 = -1$   
chiral fermions

and 8 right-handed  $N_3 = +1$   
chiral fermions



Fermi pockets  
may contain  
large number  
of Weyl & Dirac  
points

# Dirac lines in cuprates

## Superconducting classes in heavy-fermion systems

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TABLE VII. Superconductivity classes from one-dimensional representations of group  $D_4$ .

Representation	Type of basis function	Class	$C_e(T)$
$A_1 \begin{cases} S=0, A_{1g} \\ S=1, A_{1u} \end{cases}$	<b>Symmetr. Function</b> $a\tilde{z}k_z + b(\tilde{x}k_x + \tilde{y}k_y)$	$D_4 \times R$	$\exp(-\Delta/T)$ $\exp(-\Delta/T)$
$A_2 \begin{cases} S=0, A_{2g} \\ S=1, A_{2u} \end{cases}$	$k_x k_y (k_x^2 - k_y^2)$ $(\tilde{x}k_y + \tilde{y}k_x) (k_x^2 - k_y^2)$	$D_4(C_4) \times R$	$T^2$ $T^3$
$B_1 \begin{cases} S=0, B_{1g} \\ S=1, B_{1u} \end{cases}$	$k_x^2 - k_y^2$ $\tilde{x}k_x - \tilde{y}k_y$	$D_4^{(1)}(D_2) \times R$	$T^2$ Dirac lines $T^3$
$B_2 \begin{cases} S=0, B_{2g} \\ S=1, B_{2u} \end{cases}$	$k_x k_y$ $\tilde{x}k_y + \tilde{y}k_x$	$D_4^{(2)}(D_2) \times R$	$T^2$ Dirac lines $T^3$

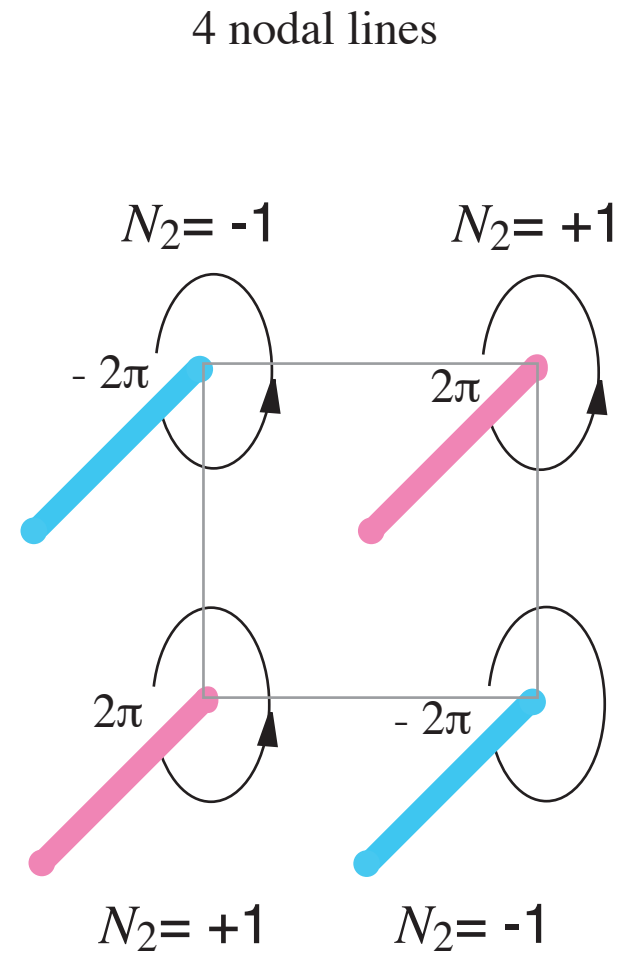


# Dirac nodal lines in *cuprate* superconductors

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & a(p_x^2 - p_y^2) \\ a(p_x^2 - p_y^2) & \mu - \frac{p^2}{2m} \end{pmatrix}$$

$$H(\mathbf{p}) = C(\tau_3 \cos \phi(\mathbf{p}) + \tau_1 \sin \phi(\mathbf{p}))$$

$N_2$  -- winding number of  $\phi(\mathbf{p})$  around nodal line

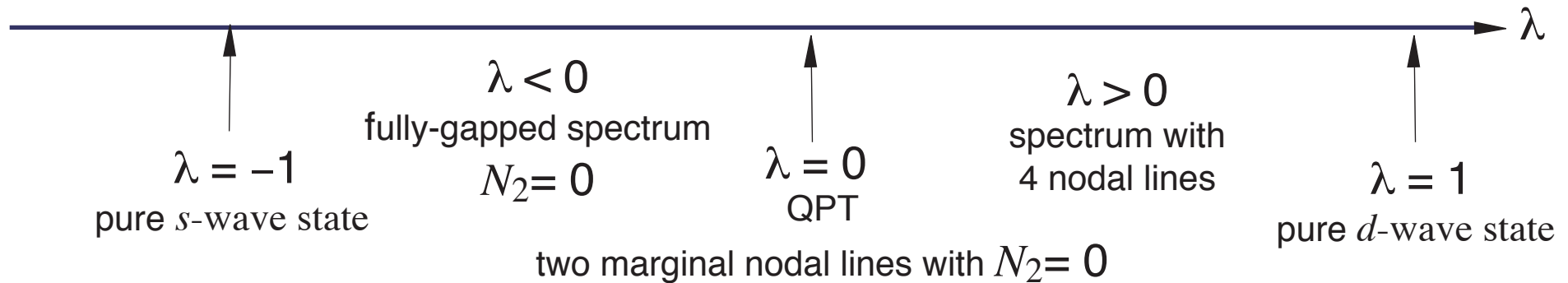
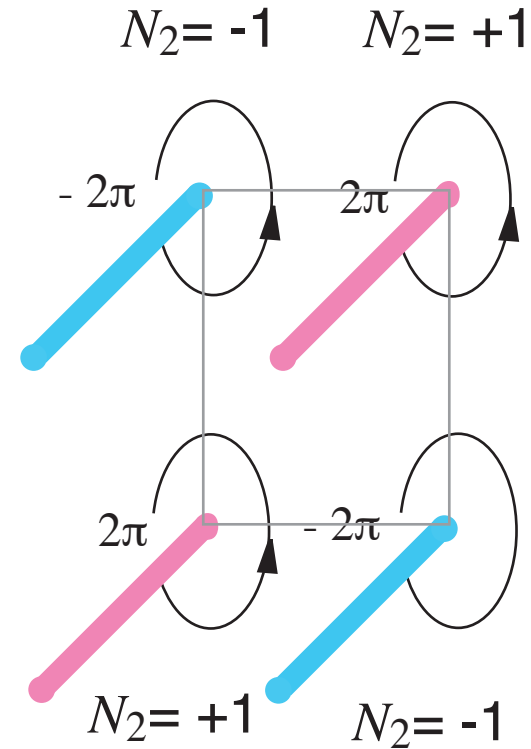
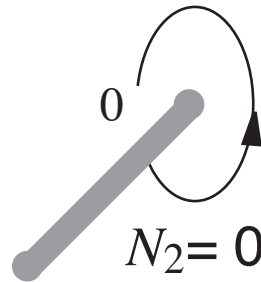
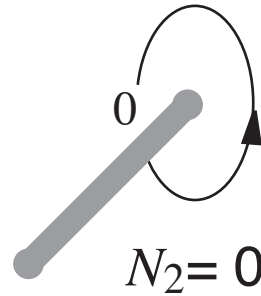
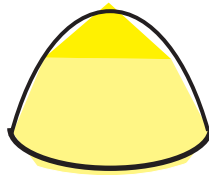
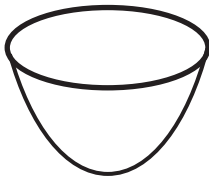


Nodal line transforms to Bogoliubov Fermi surface under supercurrent

# Topological quantum phase transition:

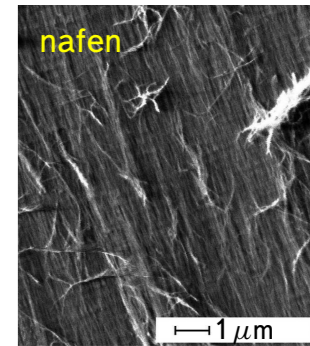
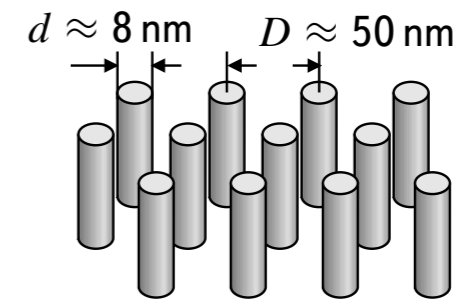
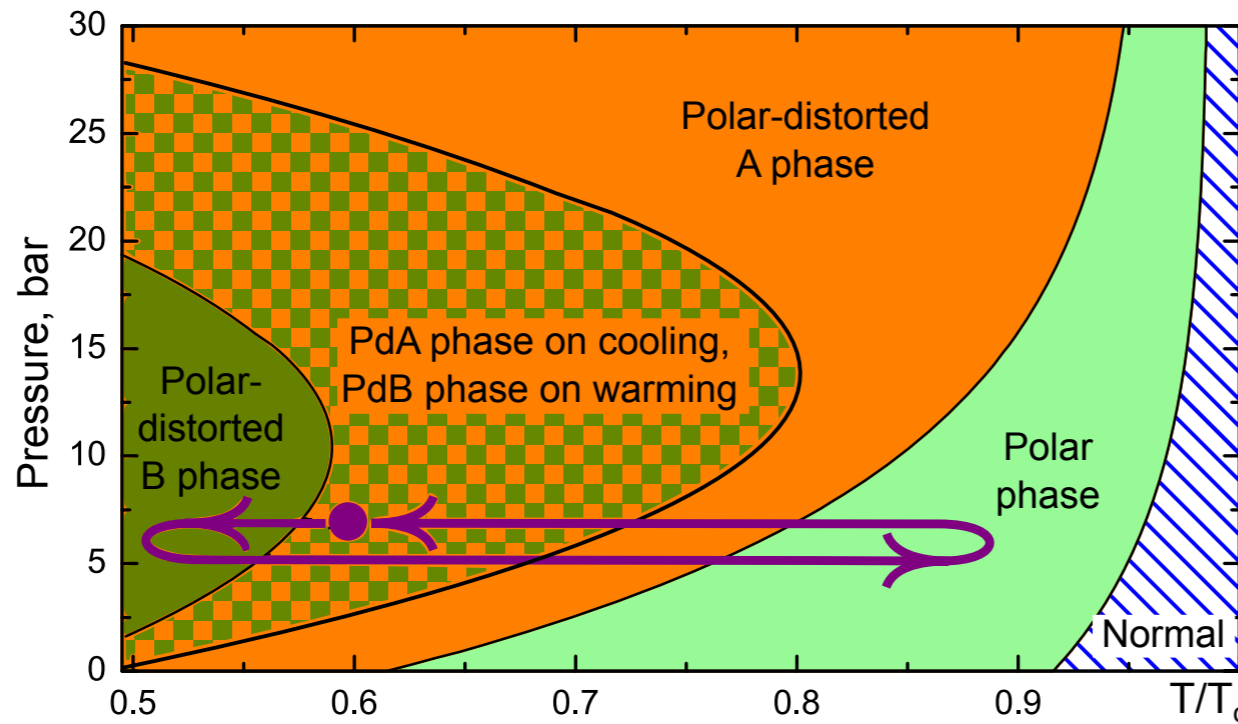
\* s+d high- $T_c$  superconductors  $\text{Pr}_{2-x}\text{Ce}_x\text{CuO}_{4-\delta}$  ???

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & a(p_x^2 - \lambda p_y^2) \\ a(p_x^2 - \lambda p_y^2) & \mu - \frac{p^2}{2m} \end{pmatrix} = C(\tau_3 \cos \phi(\mathbf{p}) + \tau_1 \sin \phi(\mathbf{p}))$$



# Superfluid $^3\text{He}$ in aerogel confinement

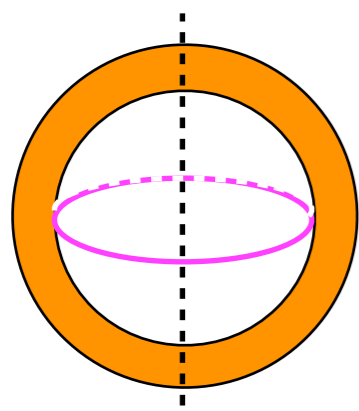
When superfluid  $^3\text{He}$  is confined to anisotropic aerogel ("nafen"), a new phases stabilize



polar  $T_c$  suppression: in secular scattering  $k_{||}$  conserved; Anderson theorem applies

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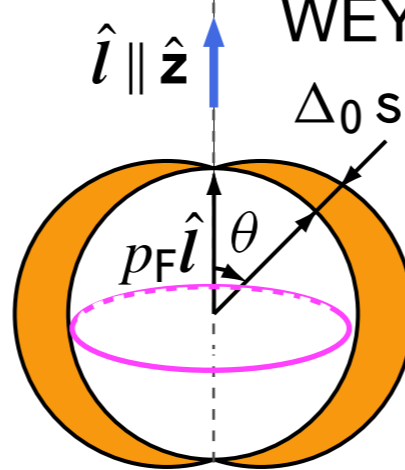
GAPPED  $\Delta_0$



B-phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \delta_{\mu i}$$

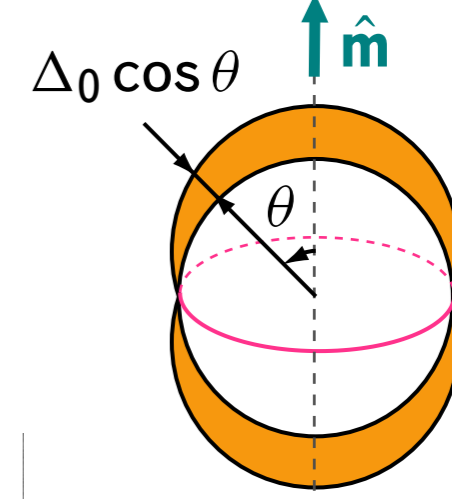
WEYL POINT



A-phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \hat{d}_\mu (\hat{m}_i + i\hat{n}_i)$$

NODE LINE



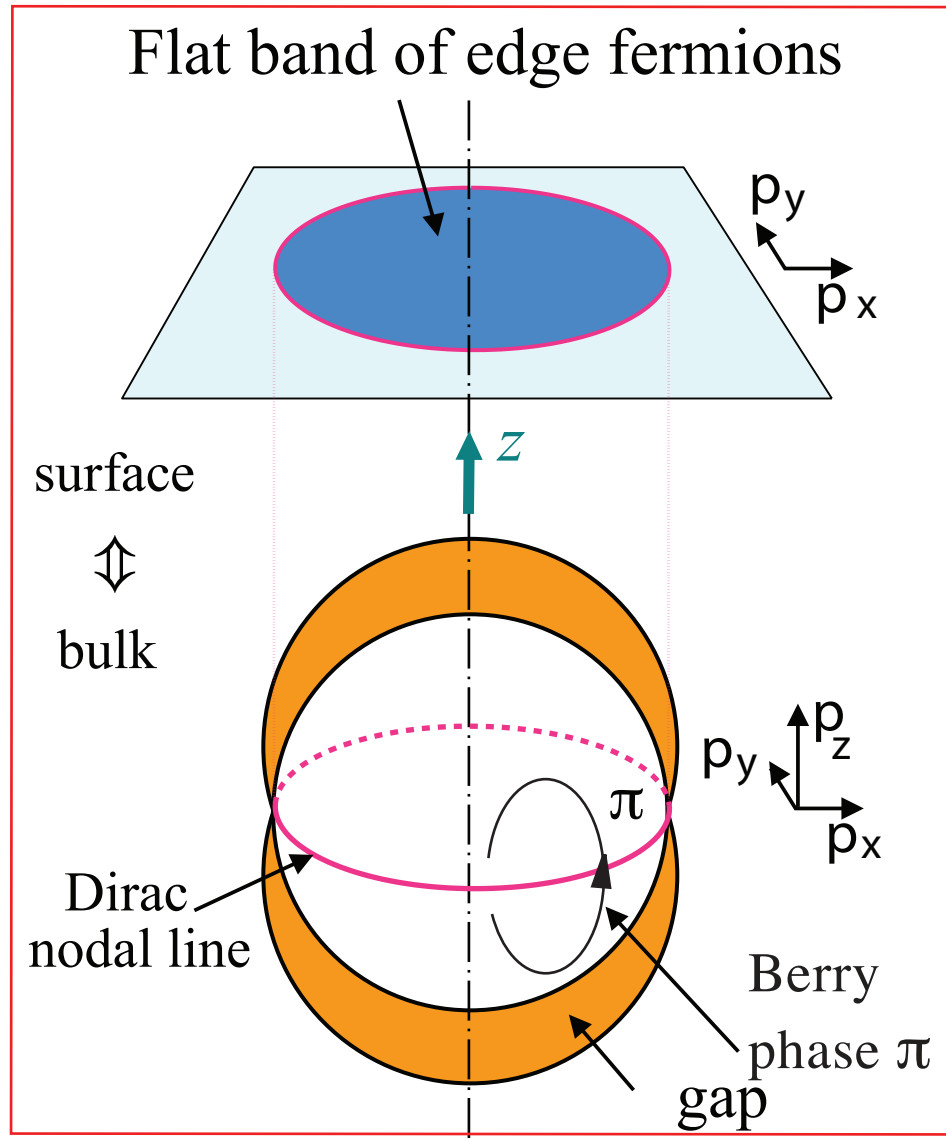
Polar phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \hat{d}_\mu \hat{m}_i$$

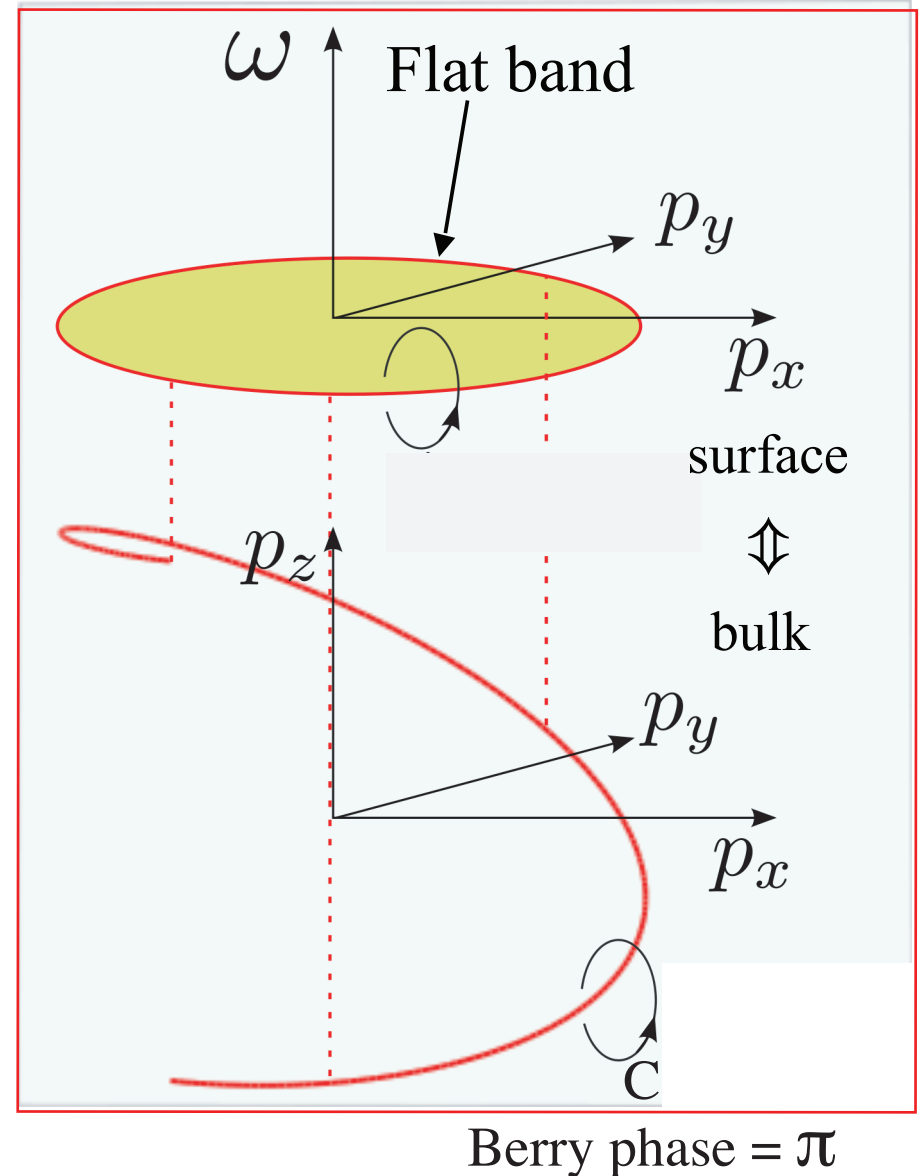
# Dirac nodal line generates flat band on the surface

projection of nodal line on the surface determines boundary of 2D flat band

**polar phase of 3He**



**rhombohedral graphite**



# Flat band - route to room-T superconductivity

Khodel-Shaginyan, JETP Lett. 51, 553 (1990)

GV, JETP Lett. 53, 222 (1991)

Nozieres, J. Phys. (Fr.) 2, 443 (1992)

metal with **Fermi surface**

$$\varepsilon(p) = v_F (p - p_F)$$

$$E^2(p) = \Delta^2 + v_F^2 (p - p_F)^2$$

$$1 = gN(0) \int \frac{d\varepsilon}{E(\varepsilon)} = gN(0) \ln \frac{E_c}{\Delta}$$

$$T_c \sim \Delta = E_c \exp[-1/gN(0)]$$

**typical superconductivity:**

exponentially suppressed  
transition temperature

**flat band superconductiv**

linear dependence  
of  $T_c$  on coupling  $g$

$$\Delta = g \int \frac{d^3 p}{2h^3} \frac{\Delta}{E(p)}$$

BCS gap equation

$$E^2(p) = \Delta^2 + \varepsilon^2(p)$$

$g$  - coupling  
in Cooper  
channel

metal with **flat band**

$$\varepsilon(p) = 0$$

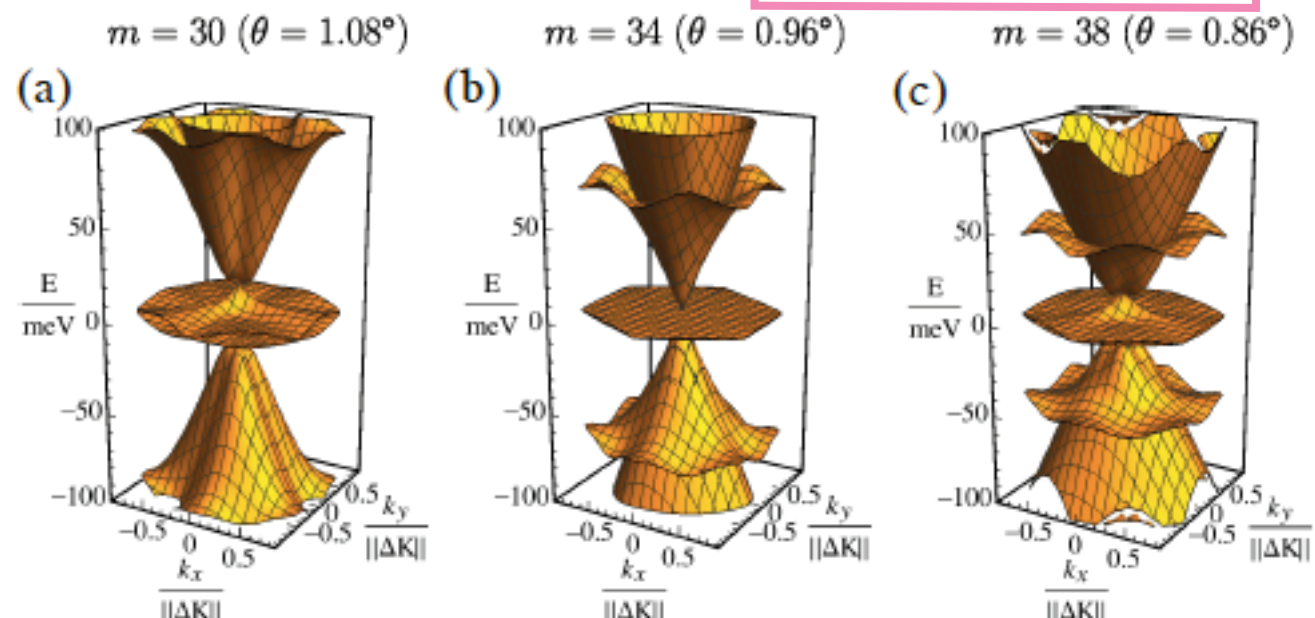
in flat band

$$E(p) = \Delta$$

$$\Delta = g \int \frac{d^3 p}{2h^3} = gV_{\text{FB}}$$

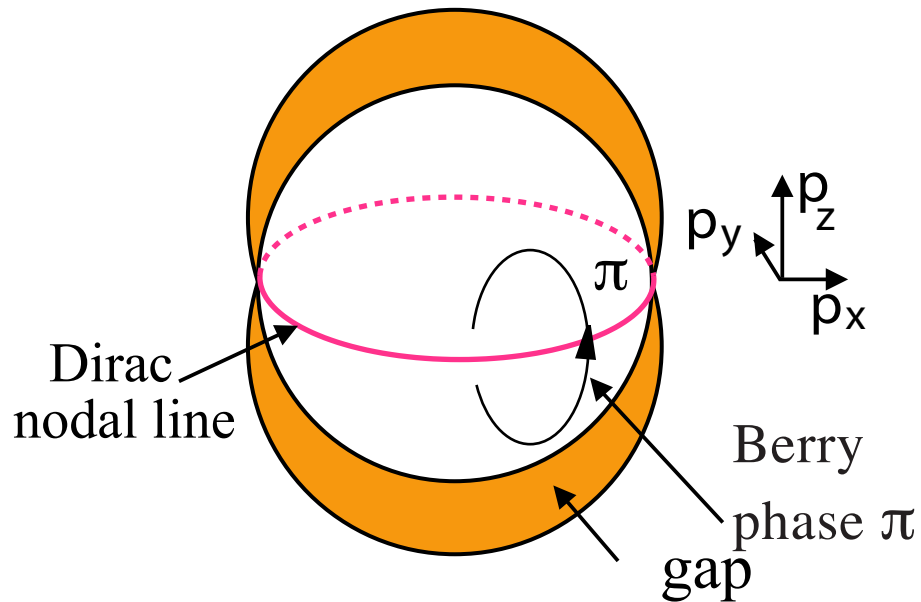
*flat band volume*

$$T_c \sim \Delta = gV_{\text{FB}}$$



# Super-Landau superlow:

## Bogoliubov Fermi surface in Dirac superfluids & superconductors

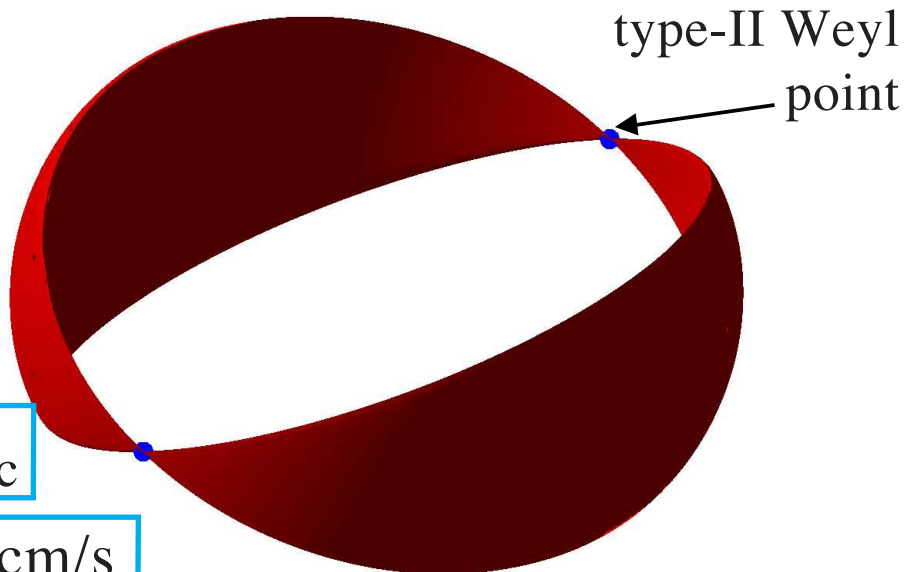


$$v_{\text{Landau}} = 0$$

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & cp_z \\ cp_z & -\frac{p^2}{2m} + \mu \end{pmatrix} + \mathbf{p} \cdot \mathbf{v}_s$$

Doppler shift

### Supercritical superflow in polar phase



$$0 < v < v_c$$

$v_c = 0.2 \text{ cm/s}$   
vortex creation velocity

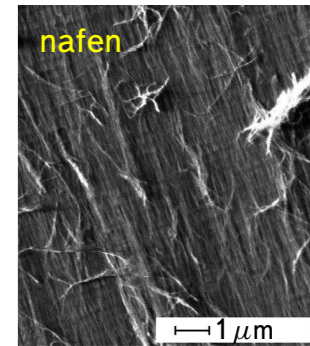
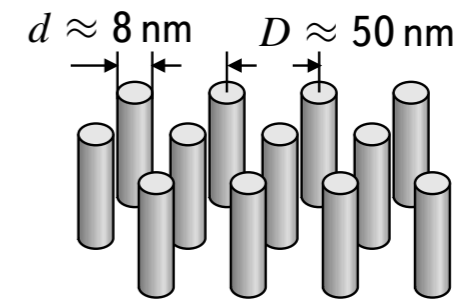
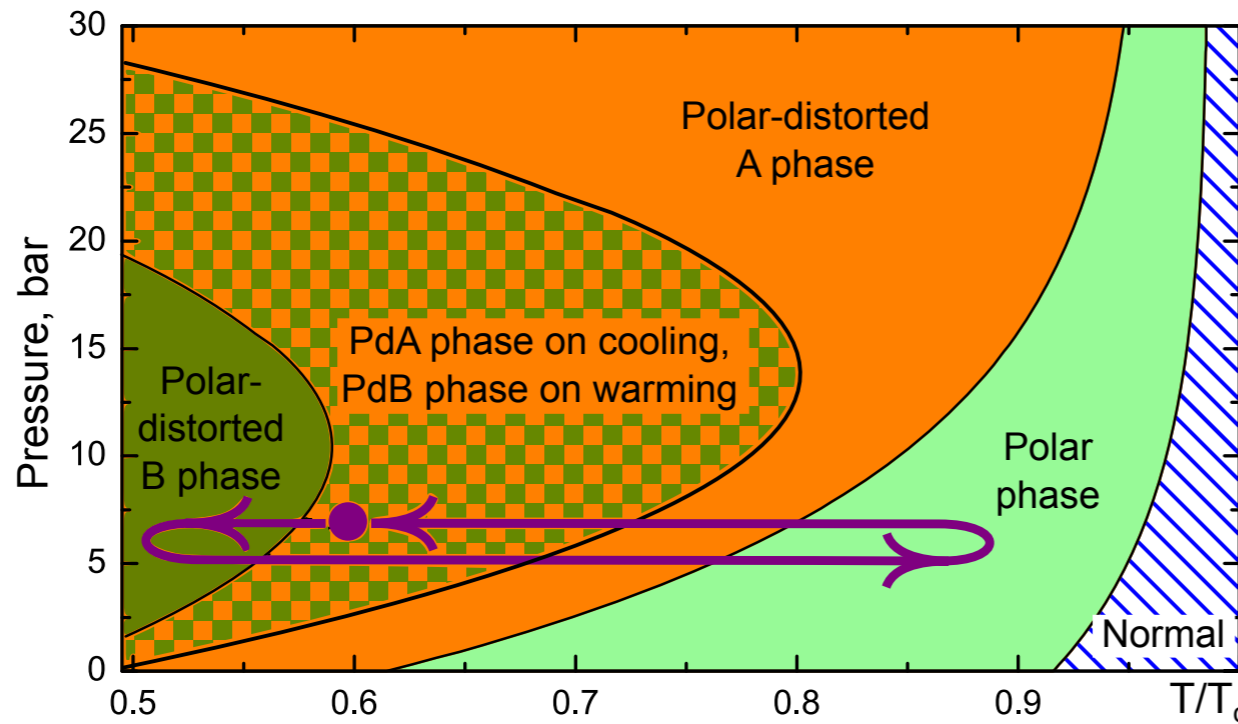
Dirac line expands to two Bogoliubov Fermi pockets

cuprate superconductors also contain flat edge modes &

Bogoliubov Fermi surfaces:  
 $\mathbf{B}^{1/2}$  density of states (GV 1993)  
корешок (Gor'kov)

# Superfluid $^3\text{He}$ in aerogel confinement

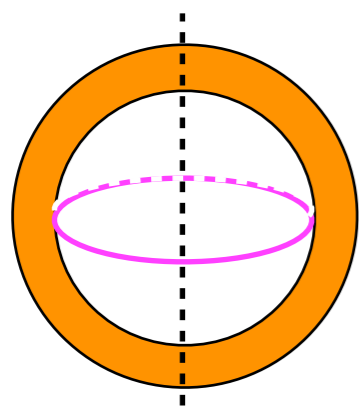
When superfluid  $^3\text{He}$  is confined to anisotropic aerogel ("nafen"), a new phases stabilize



polar  $T_c$  suppression: in secular scattering  $k_{||}$  conserved; Anderson theorem applies

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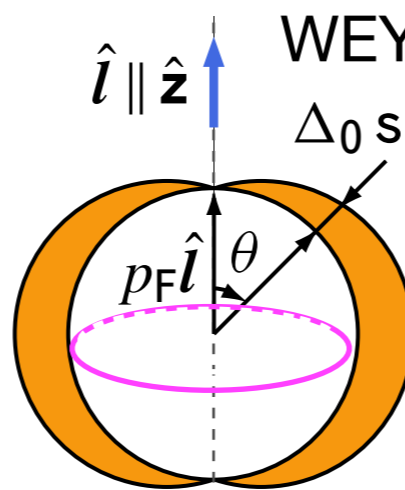
GAPPED  $\Delta_0$



B-phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \delta_{\mu i}$$

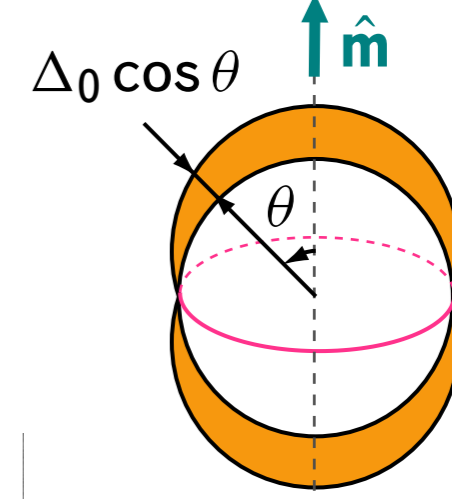
W E Y L P O I N T



A-phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \hat{d}_\mu (\hat{m}_i + i\hat{n}_i)$$

N O D E L I N E



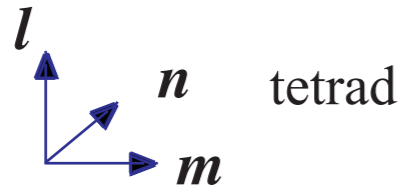
Polar phase

$$A_{\mu i} = \Delta_0 e^{i\Phi} \hat{d}_\mu \hat{m}_i$$

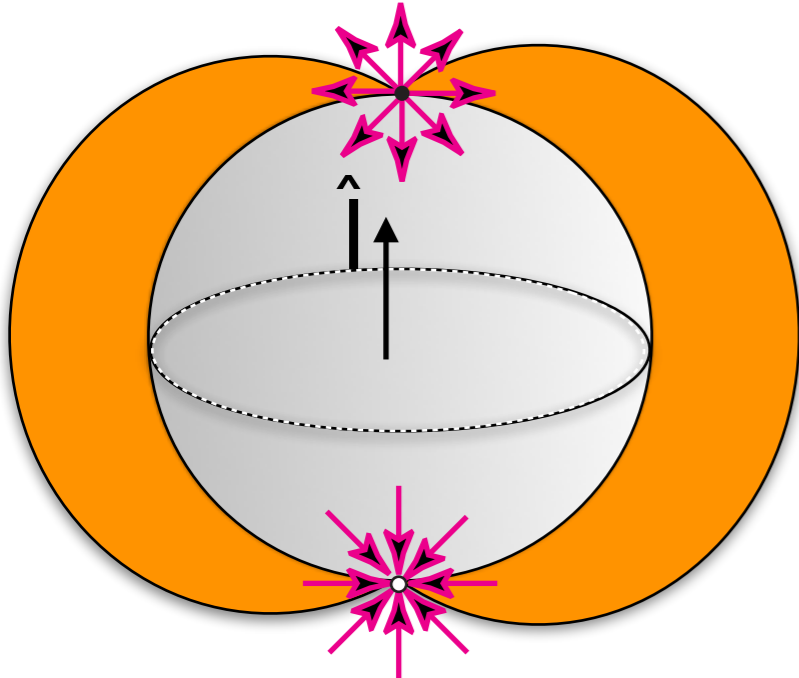
**From Weyl to nodal line and anti-Weyl:**

**from spacetime to antispacetime**

spacetime

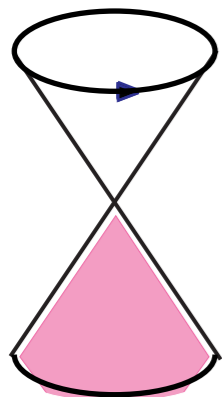


right Weyl

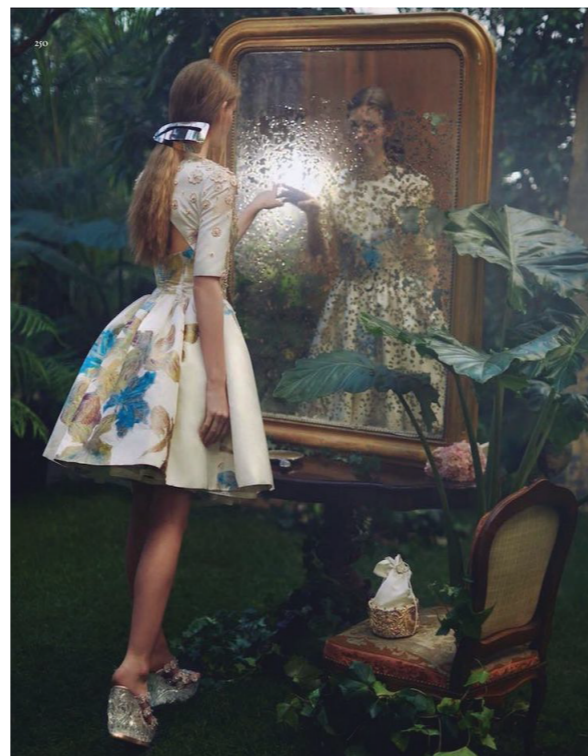
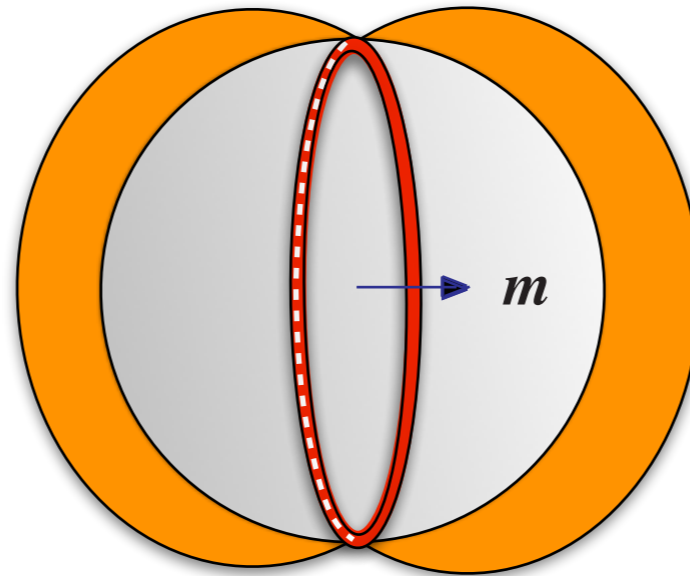


left Weyl

$\hat{l}$  direction of angular momentum of chiral superfluid  $^3\text{He-A}$



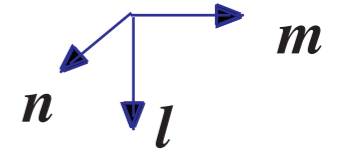
polar phase  
Alice looking-glass



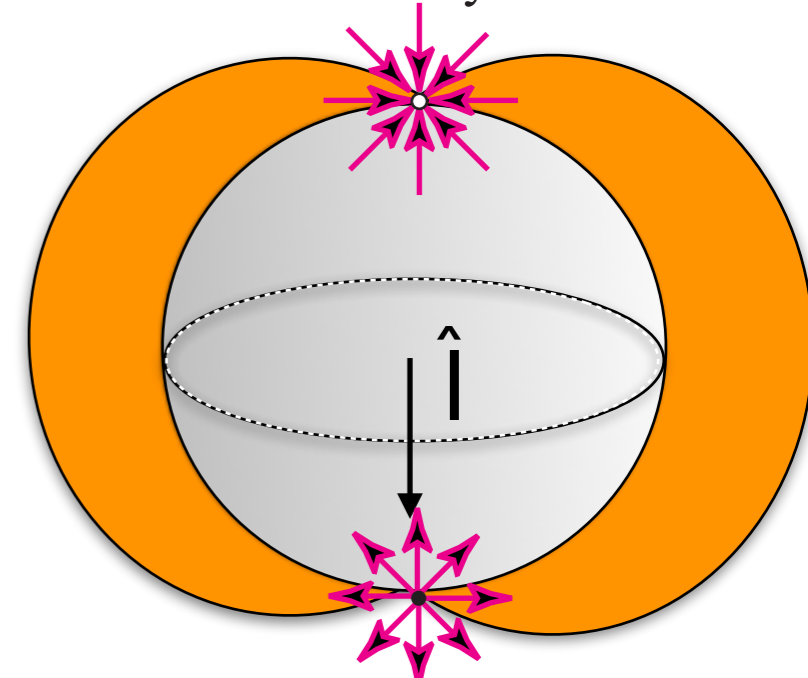
what is life in antispacetime ?

antispacetime

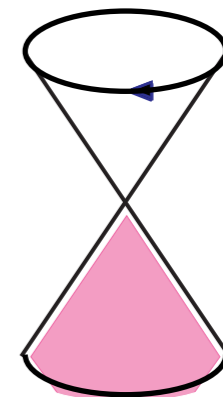
anti-tetrad



left Weyl



right Weyl





# QED in spacetime and antispacetime

$$L_{\text{em}} = \frac{\sqrt{-g}}{24\pi^2} g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu} \ln \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

$$-g = (\det e)^2$$

what happens when **det e** changes sign and **spacetime** transforms to **anti-spacetime** ?

$$L_{\text{em}} = \frac{\det e}{24\pi^2} g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu} \ln \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

analytic

or

$$L_{\text{em}} = \frac{|\det e|}{24\pi^2} g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu} \ln \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

non-analytic

Diakonov 2011

Rovelli 2012

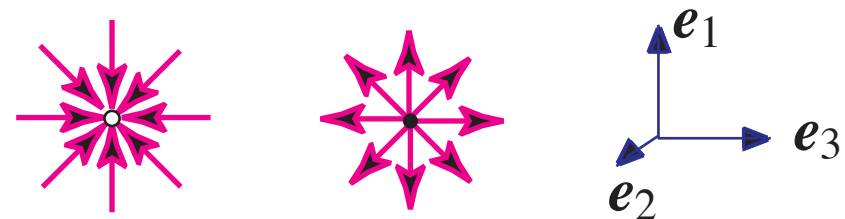
# transition to anti-spacetime is non-analytic

spacetime

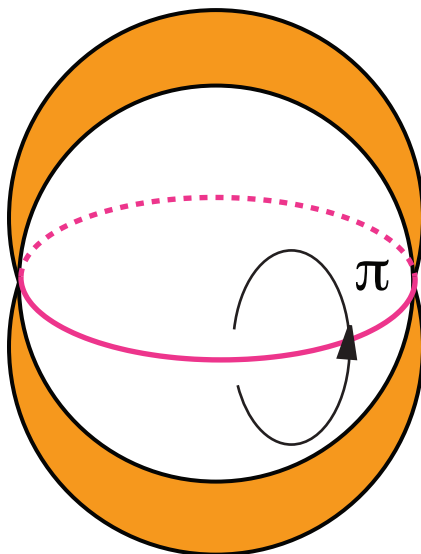


A-phase

$$L_{\text{em}} = \frac{|\det e|}{24\pi^2} g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu} \ln \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$



polar-distorted  
A-phase

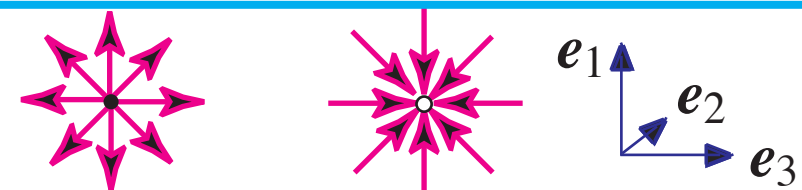


intermediate degenerate  
spacetime with  $e_2 = 0$

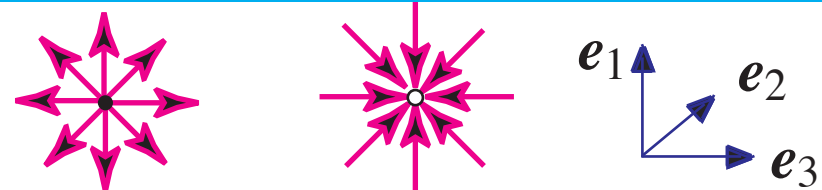
$$L^{2+1}[B] = \sqrt{g_{\perp}} \frac{\zeta(3/2)}{4\sqrt{2}\pi^2} |B|^{3/2}$$

polar phase

Nissinen, GV 2018



polar-distorted  
A-phase

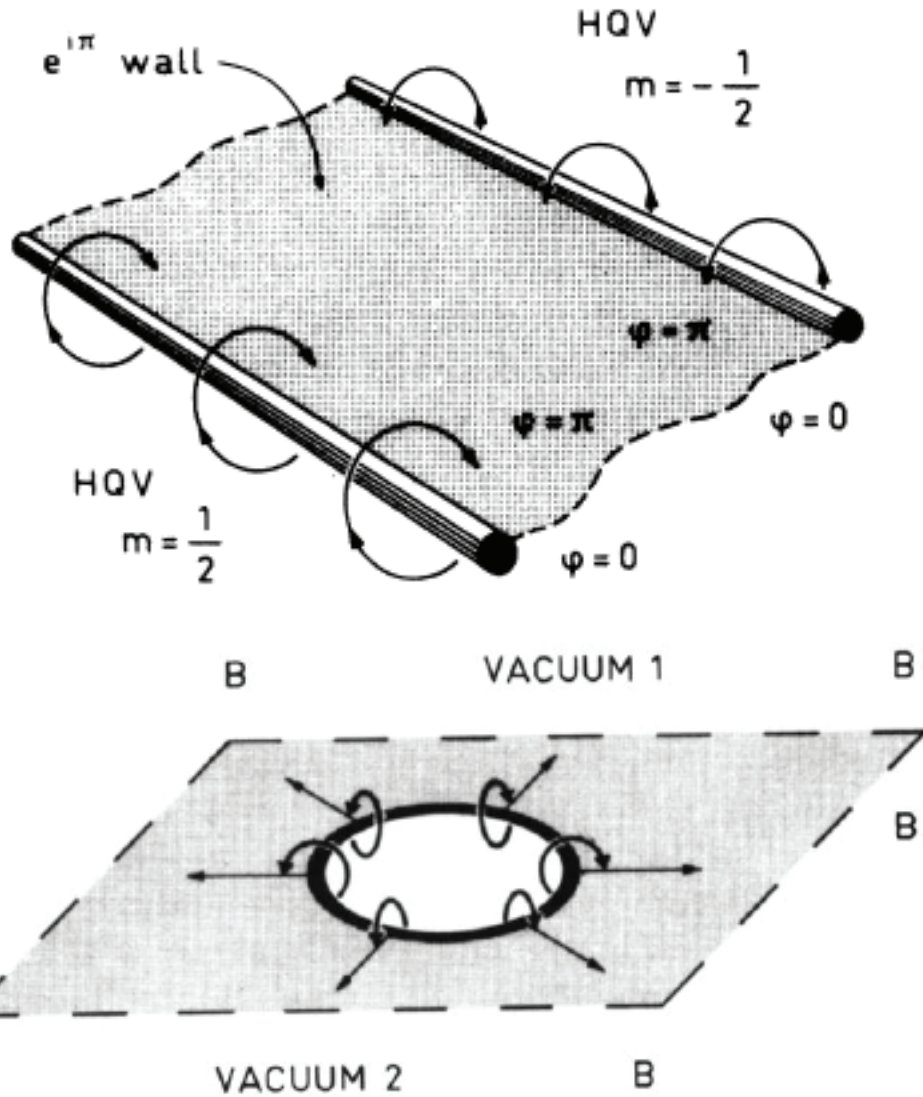


A-phase

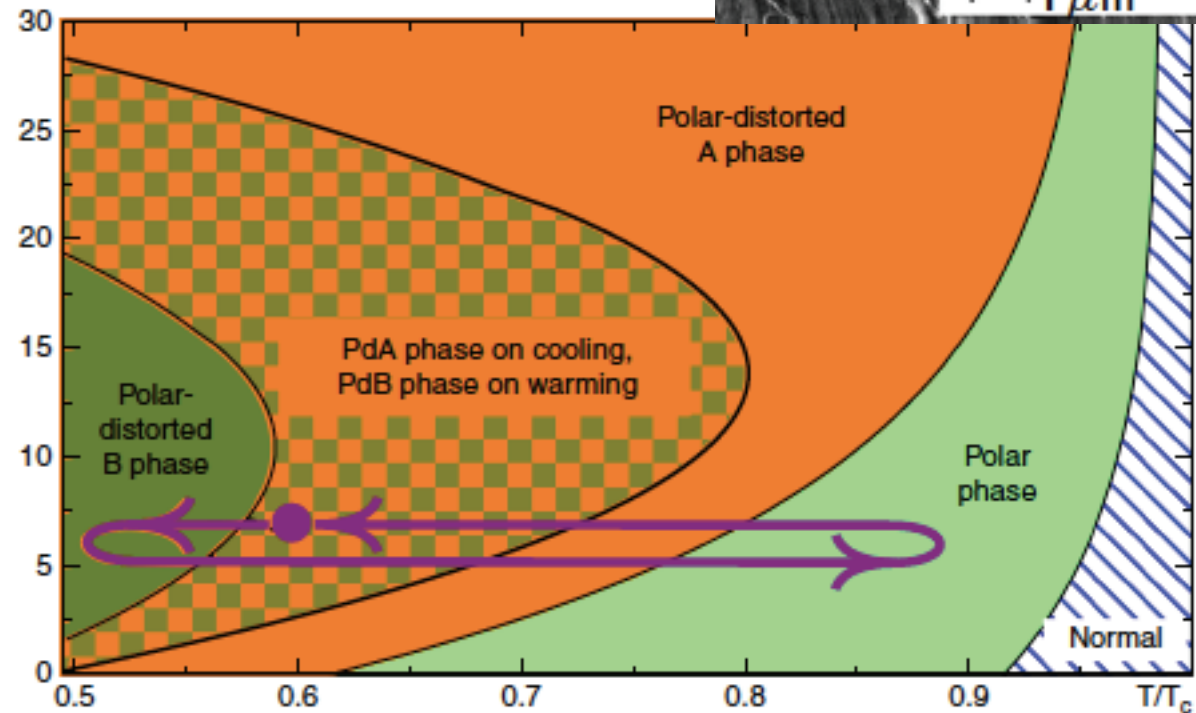
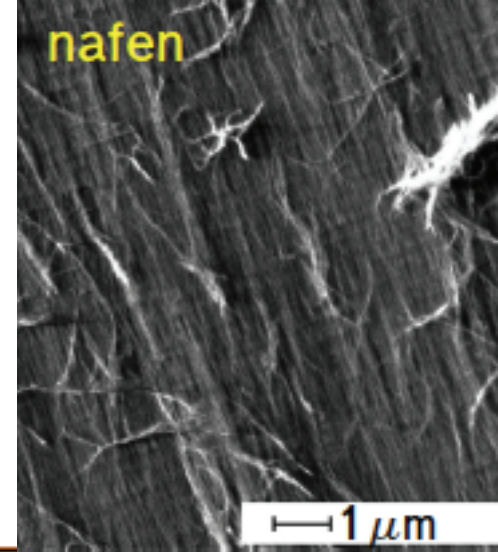
$$L_{\text{em}} = \frac{|\det e|}{24\pi^2} g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu} \ln \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

anti-spacetime

# Kibble-Lazarides-Shafi walls bounded by strings (1982)



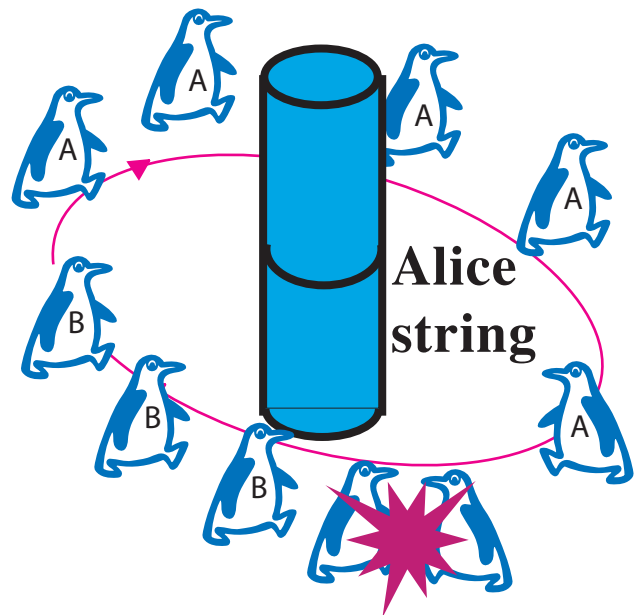
Cosmiclike domain walls  
in superfluid  $^3\text{He-B}$   
Salomaa, GV (1988)



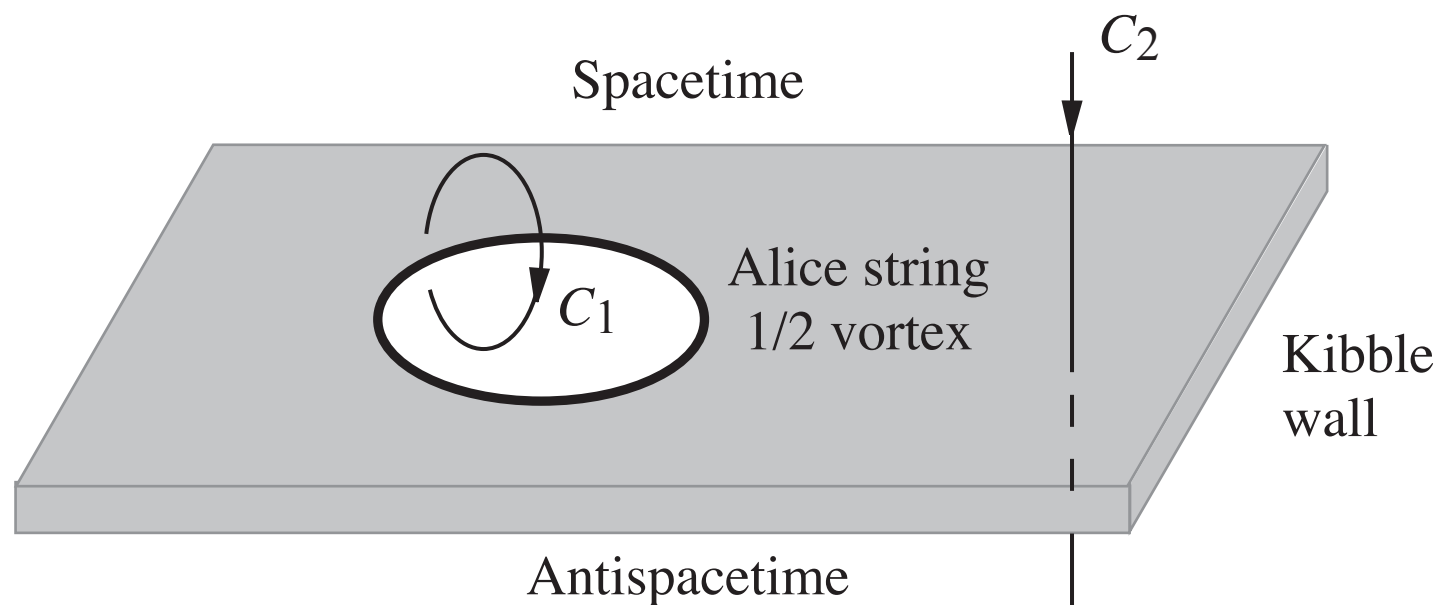
Mäkinen, Dmitriev, Nissinen, Rysti,  
GV, Yudin, Zhang, Eltsov

Half-quantum vortices and walls bounded by strings  
in the polar-distorted phases of topological superfluid  $^3\text{He}$   
Nat. Comm. 10, 237 (2019)

# save and dangerous transitions to anti-spacetime



**save route to anti-spacetime  
(if Alice & Bob travel together)**



**dangerous route to anti-spacetime  
(through the Kibble wall)**

# Weyl fermions, black hole and Hawking radiation

## Schwarzschild metric

$$ds^2 = - dt^2 (c^2 - v^2) + dr^2 / (c^2 - v^2) + r^2 d\Omega^2$$

$g_{00}$  ↑      ↑  $g_{rr}$

singularity at horizon

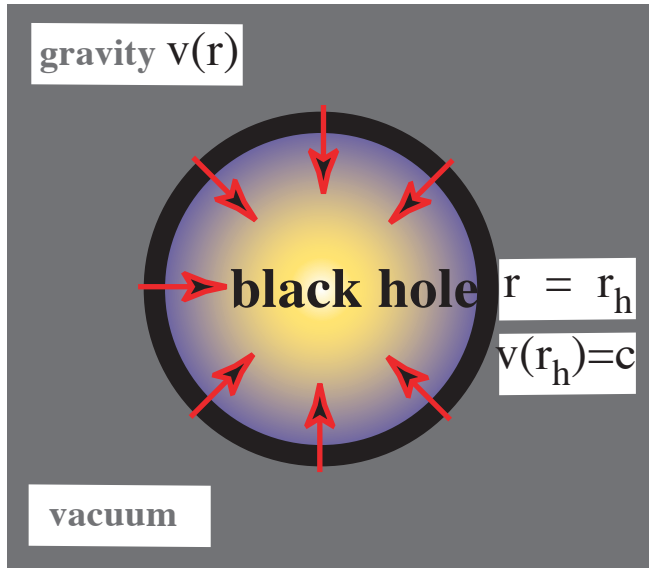
## Painleve-Gulstrand metric

$$ds^2 = - dt^2 c^2 + (dr - v dt)^2 + dr^2 + r^2 d\Omega^2$$

↑ Doppler shift

no singularity at horizon

$$v^2(r) = \frac{2GM}{r} = c^2 \frac{r_h}{r}$$



horizon at  $g_{00} = 0$  (or  $v(r_h) = c$ )

$v(r)$  looks like velocity of vacuum

$$v^2(r) = \frac{2GM}{r} = c^2 \frac{r_h}{r}$$

## Weyl fermions in Painleve-Gulstrand spacetime

# Weyl fermions in the black hole environment

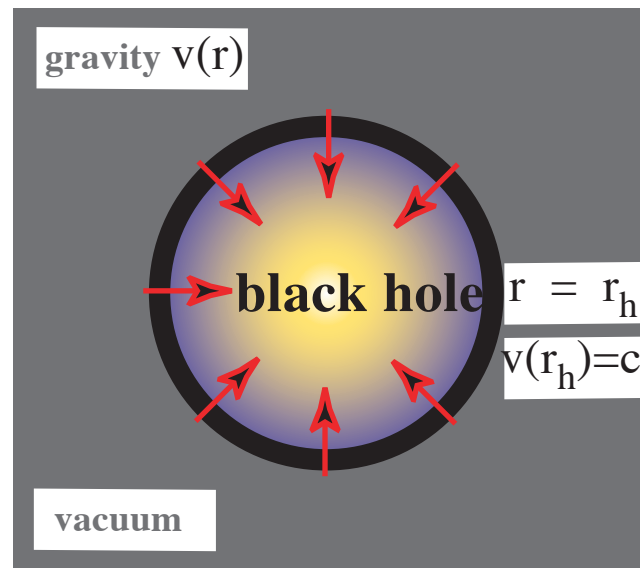
## Painleve-Gulstrand metric

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -c^2 dt^2 + (dr - v dt)^2$$

## Weyl fermions in Painleve-Gulstrand spacetime

$$H = \pm c \boldsymbol{\sigma} \cdot \mathbf{p} - p_r v$$

Doppler shift

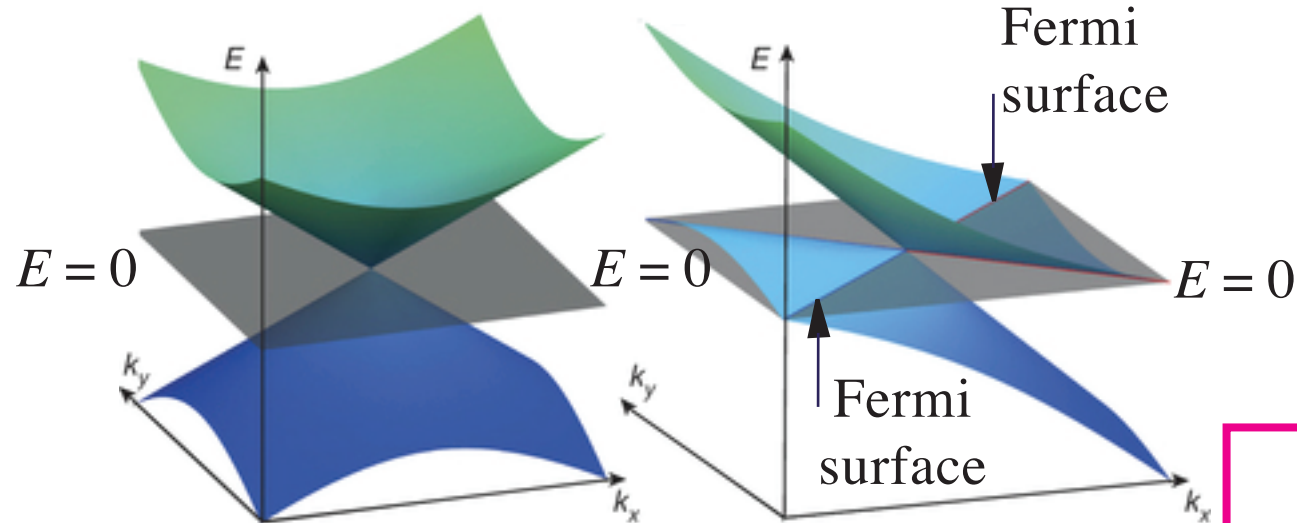


horizon at  $g_{00} = 0$  (or  $v(r_h) = c$ )

$$\mathbf{v}(\mathbf{r}) = -\hat{\mathbf{r}} c \sqrt{\frac{r_h}{r}}$$

at  $r > r_h$   $v(r) > c$  type II Weyl point is formed:  
two Fermi surfaces connected by Weyl point

# type-II Weyl fermions behind horizon

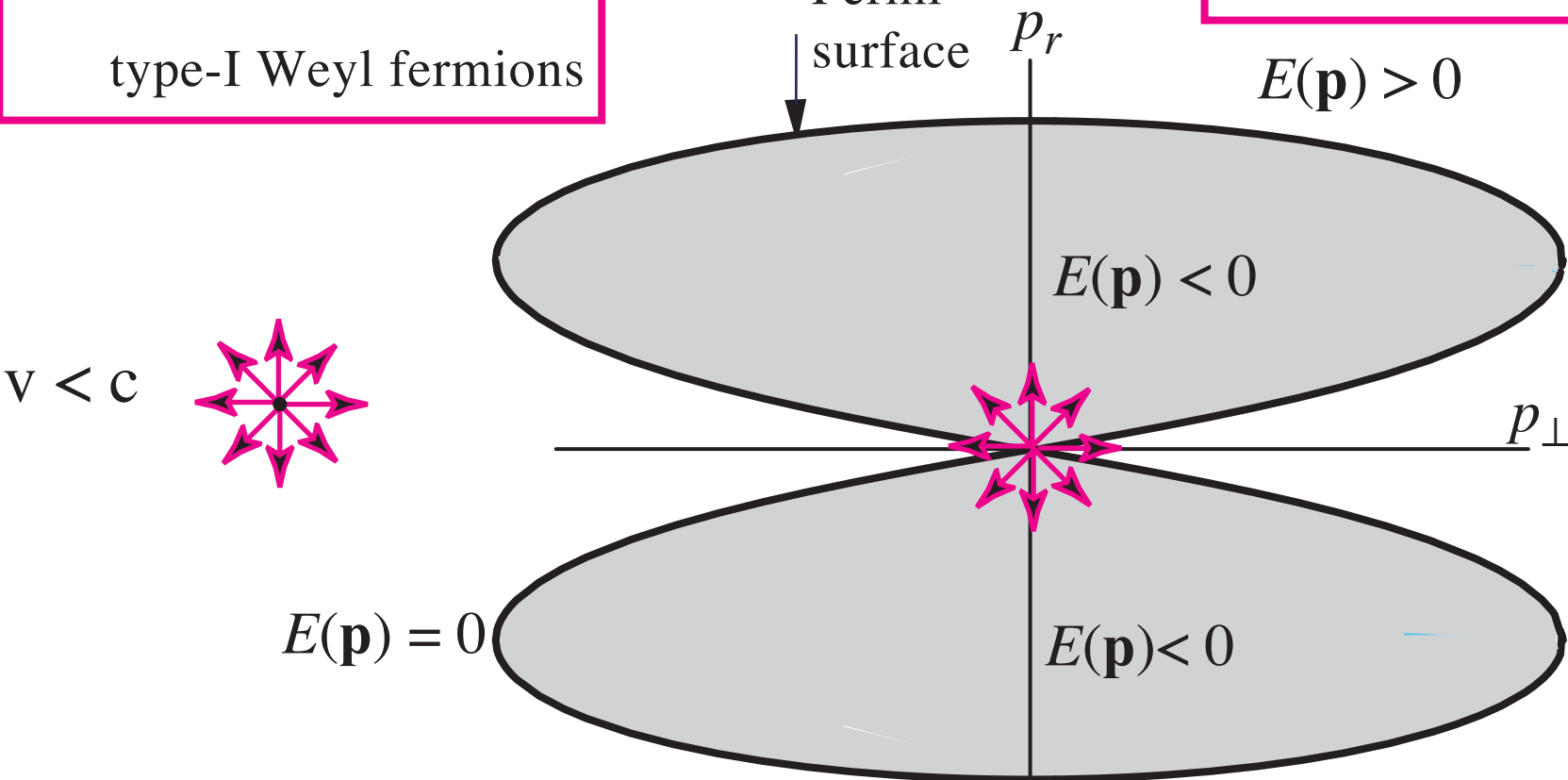


fermionic degrees of freedom  
inside black hole horizon

$$H = \pm c\boldsymbol{\sigma} \cdot \mathbf{p} - p_r v$$

$v < c$  Weyl cone  
type-I Weyl fermions

$v > c$  overtilted Weyl cone  
type-II Weyl fermions



Fermi surfaces  
inside horizon  
connected  
by Weyl point

# black hole horizon at interface between type-I and type-II Weyl materials

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -c^2 dt^2 + (dr - v dt)^2$$

$$v(\mathbf{r}) = -\hat{\mathbf{r}}c\sqrt{\frac{r_h}{r}}$$

overtilted light cone

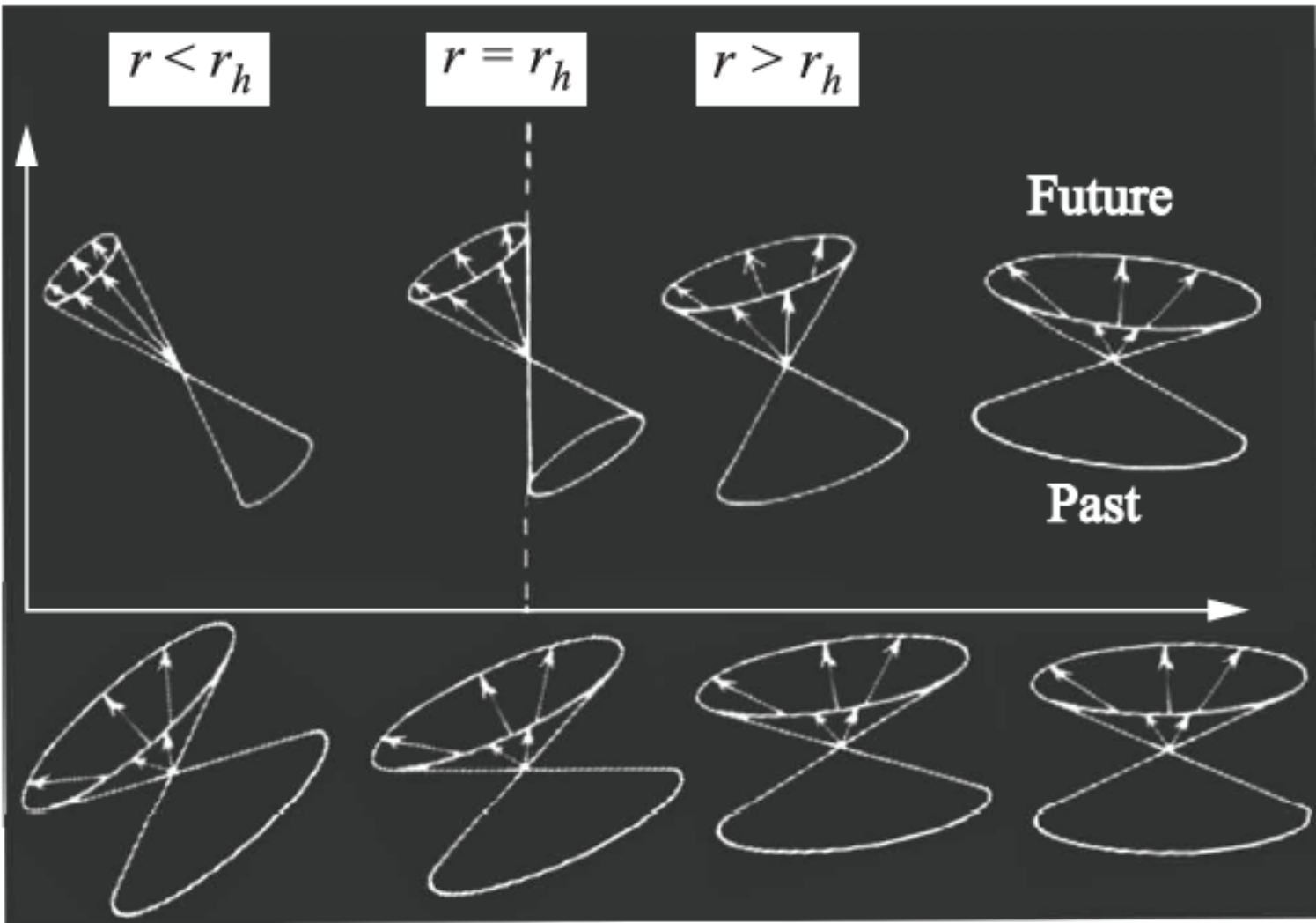
$$v > c$$

horizon

light cone

$$v < c$$

real space  
topological  
Lifshits  
transition



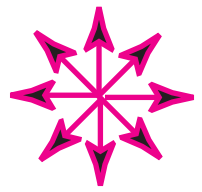
overtilted Weyl cone

$$v > c$$

$$H = \pm c\boldsymbol{\sigma} \cdot \mathbf{p} - p_r v$$

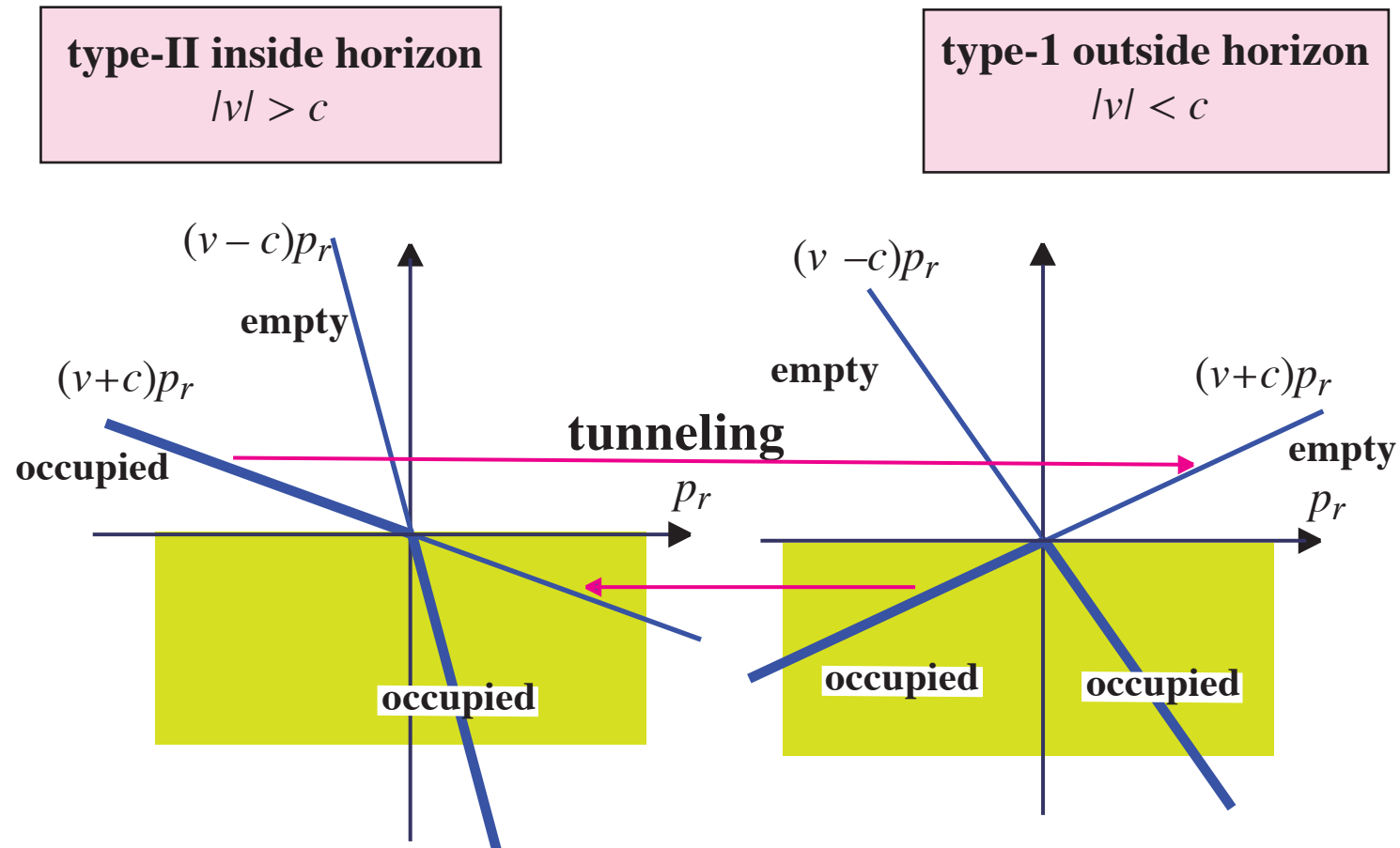
Weyl cone

$$v < c$$





# Hawking radiation as tunneling

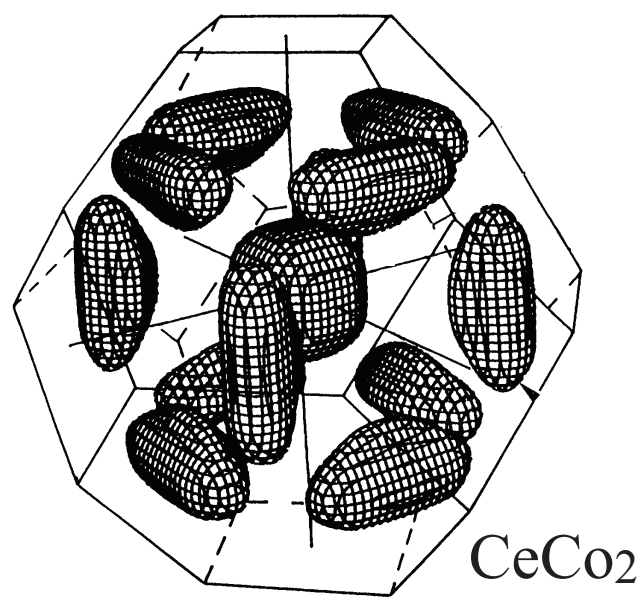


$$T_H = \frac{\hbar}{2\pi} \left( \frac{dv}{dr} \right)_{r=r_h}$$

from:  
Gor'kov equations

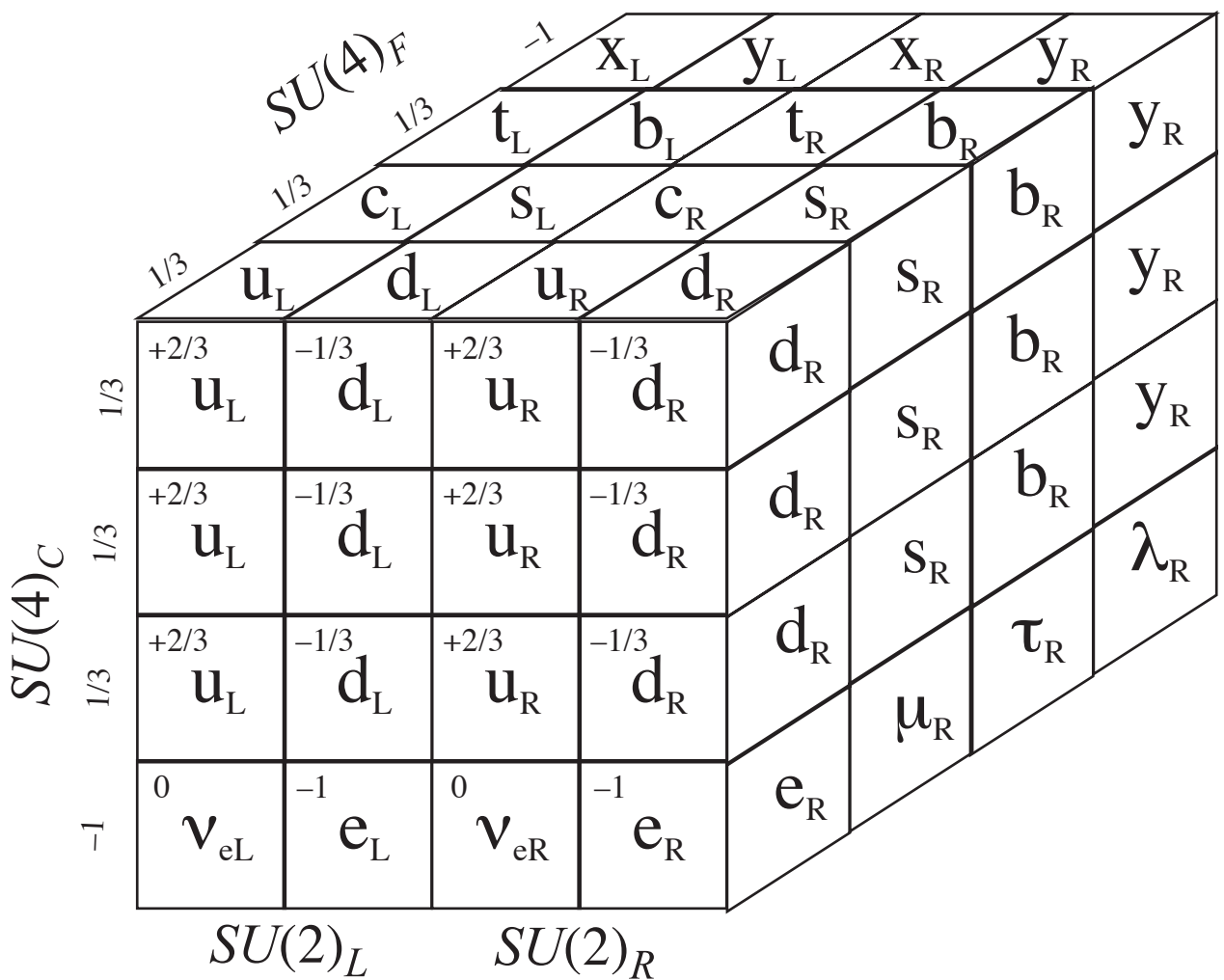
to:

Pati-Salam Model of particle physics  
with 4 generations



Agterberg, Barzykin, Gor'kov  
(1999)

$3 \times 4 \times 2 + 8 = 32$  Dirac fermions



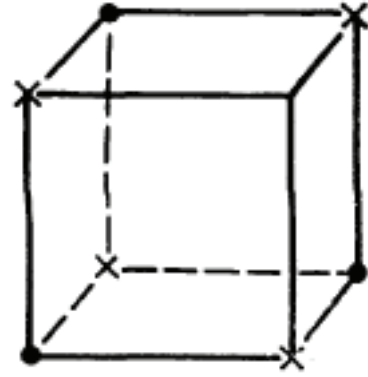
$16 \times 4 = 64$  Weyl fermions



$8 \times 4 = 32$  Dirac fermions

magic  $2^N$  rule

$N = 5$ : 8 Weyl nodes  
in  $\alpha$ -phase of cubic superconductor  
(GV & Gor'kov, 1985)



$N = 1$  = 2 components of Majorana fermion

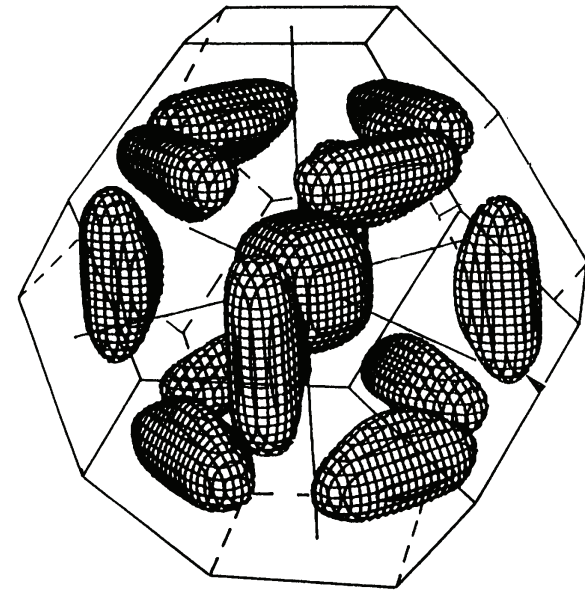
$N = 2$  = 4 components of chiral Weyl fermion

$N = 3$  = 8 components of Dirac fermion

$N = 6$  = 64 components of 16 fermions  
of one generation

$N = 8$  = 256 components of fermions  
of 4 generations

$N = 6$ : 16 Weyl nodes  
in 4D graphene  
(Creutz, 2008)



$N = 8$ : 64  
Weyl nodes in  $\text{CeCo}_2$   
(Agterberg, Barzykin, Gor'kov 1999)